

# Topological Birkhoff

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## 1 Birkhoff's Theorem

# Overview

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- 2 Topological Birkhoff
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If well-defined, call this map the **natural homomorphism** from  $\text{Clo}(\mathbf{A}) \rightarrow \text{Clo}(\mathbf{B})$ .

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If  $\mathbf{A}$  is infinite, have to replace  $\text{HSP}^{\text{fin}}(\mathbf{A})$  by  $\text{HSP}(\mathbf{A})$

and pseudo-varieties by varieties – even when  $\mathbf{B}$  is finite.



# Oligomorphic Algebras

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## Fact

*A polymorphism clone of a countable structure  $\Gamma$  is oligomorphic if and only if  $\Gamma$  is  $\omega$ -categorical, i.e., every countable model of the first-order theory of  $\Gamma$  is isomorphic to  $\Gamma$ .*

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- It suffices that  $\mathbf{B}$  is **finitely generated** (oligomorphic algebras are finitely generated)

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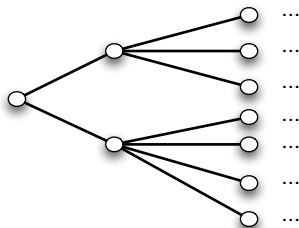
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**Consequence:** when  $\mathbf{A}$  is locally oligomorphic, and  $G$  consists of the unary invertible operations in  $\overline{\text{Clo}(\mathbf{A})}$ , then  $\overline{\text{Clo}(\mathbf{A})}^{(k)}/G$  is compact.

## Ideas from the Proof, 2

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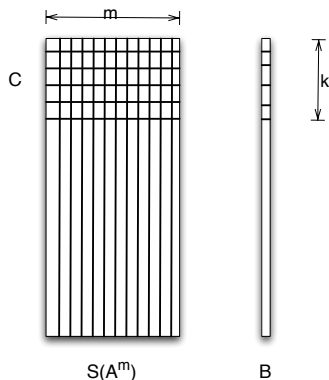
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(Thanks to Keith Kearnes)

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**Examples.** All homogeneous structures with finite relational signature (e.g. from the talks of Manfred Droste and John Truss!) are  $\omega$ -categorical.



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**Question (B.-Junker):** can this be further generalized to topological clones and **primitive positive bi-interpretability**?

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A  $\sigma$ -structure  $\Gamma$  has an **interpretation** in a  $\tau$ -structure  $\Delta$  if there is a  $d \geq 1$ , and

- a  $\tau$ -formula  $\delta_I(x_1, \dots, x_d)$ ,
- for each atomic  $\sigma$ -formula  $\phi(y_1, \dots, y_k)$  a  $\tau$ -formula  $\phi_I(\bar{x}_1, \dots, \bar{x}_k)$ ,
- a surjective map  $h: \delta_I(\Delta^d) \rightarrow \Gamma$ ,

such that for all atomic  $\sigma$ -formulas  $\phi$  and all  $\bar{a}_i \in \delta_I(\Delta^d)$

$$\Gamma \models \phi(h(\bar{a}_1), \dots, h(\bar{a}_k)) \Leftrightarrow \Delta \models \phi_I(\bar{a}_1, \dots, \bar{a}_k).$$

# Interpretations

Idea by example:  $(\mathbb{Q}; +, \cdot)$  has a first-order interpretation in  $(\mathbb{Z}; +, \cdot)$ .

A  $\sigma$ -structure  $\Gamma$  has an **interpretation** in a  $\tau$ -structure  $\Delta$  if there is a  $d \geq 1$ , and

- a  $\tau$ -formula  $\delta_I(x_1, \dots, x_d)$ ,
- for each atomic  $\sigma$ -formula  $\phi(y_1, \dots, y_k)$  a  $\tau$ -formula  $\phi_I(\bar{x}_1, \dots, \bar{x}_k)$ ,
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## Definition.

An interpretation is **primitive positive (pp)** iff all the involved formulas are primitive positive, i.e., of the form

$$\exists x_1, \dots, x_n (\psi_1 \wedge \dots \wedge \psi_l)$$

where  $\psi_i$  are **atomic**, i.e. of the form  $x = y$  or  $R(x_{i_1}, \dots, x_{i_k})$  for  $R \in \tau$ .



# PP Interpretations and Pseudo-Varieties

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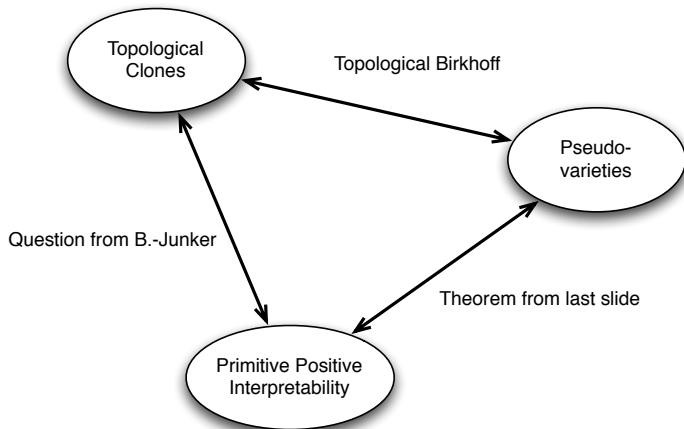
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- $\Delta$  has a primitive positive interpretation in  $\Gamma$ .
- For every polymorphism algebra  $\mathbf{A}$  of  $\Gamma$  there is an algebra  $\mathbf{B} \in \text{HSP}^{\text{fin}}(\mathbf{A})$  such that  $\text{Clo}(\mathbf{B}) \subseteq \text{Pol}(\Delta)$ .

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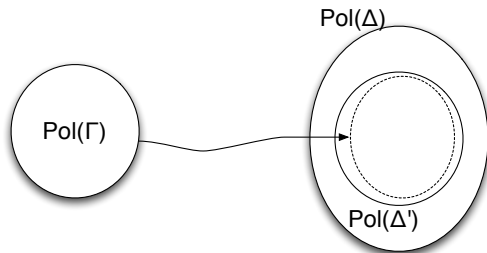
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Mutually pp interpretable structures need **not** have the same topological polymorphism clone!

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Say that mutually interpretable  $\Gamma$  and  $\Delta$  are **pp bi-interpretable** iff the coordinate maps  $h_1$  and  $h_2$  of the pp interpretations are such that

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- $\Gamma$  and  $\Delta$  are primitive positive bi-interpretable;
- $\Gamma$  has a polymorphism algebra  $\mathbf{A}$  and  $\Delta$  has a polymorphism algebra  $\mathbf{B}$  such that  $\text{HSP}^{\text{fin}}(\mathbf{A}) = \text{HSP}^{\text{fin}}(\mathbf{B})$ .

## Examples 2

- $(\mathbb{N}^2; \{((u_1, u_2), (v_1, v_2)) \mid u_2 = v_1\})$  and  $(\mathbb{N}; =)$  are primitive positive bi-interpretable.

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But  $\xi$  is **not surjective!** (D. Macpherson).



# Constraint Satisfaction Problems

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**Definition.**

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## Theorem 2.

For  $\omega$ -categorical  $\Gamma$ , the complexity of  $\text{CSP}(\Gamma)$  only depends on the topological polymorphism clone of  $\Gamma$ .

(answering question from Fields-Institute Summer on CSPs and Algebra'11)

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Since  $d$  is clearly unique for each  $f$ , setting  $\xi(f) := \pi_d^k$  defines a function  $\xi$  from  $\text{Pol}(\Gamma)$  onto  $\mathbf{1}$ .

# Complexity Classification

Define  $\mathbf{1} := \text{Clo}(\mathbf{A})$  for any algebra  $\mathbf{A}$  with at least two elements where all operations are projections.

Write  $\pi_i^k$ ,  $i \leq k$ , for  $k$ -ary elements of  $\mathbf{1}$ ; topology of  $\mathbf{1}$  is discrete.

**Example:**  $\text{Pol}(\{\{0, 1\}; \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}\})$  isomorphic to  $\mathbf{1}$ .

**Empirically:** For all **known**  $\omega$ -categorical structures  $\Gamma$  where  $\text{CSP}(\Gamma)$  is NP-complete there is a continuous clone homomorphism from  $\text{Pol}(\Gamma)$  to  $\mathbf{1}$ .

**Example:**  $\Gamma = (\mathbb{Q}; \{(x, y, z) \in \mathbb{Q}^3 \mid x < y < z \vee z < y < x\})$   
 $\text{CSP}(\Gamma)$  is the so-called **Betweenness problem** (Garey+Johnson, Opatrny).

$\text{CSP}(\Gamma)$  is NP-hard since there is a continuous homomorphism  $\xi : \text{Pol}(\Gamma) \rightarrow \mathbf{1}$ :  
For any  $f \in \text{Pol}(\Gamma)$  of arity  $k$ , one of the following holds:

- (1)  $\exists d \in \{1, \dots, k\} \forall x, y \in \Gamma^k : (\neq(x, y) \wedge (x_d < y_d) \Rightarrow f(x) < f(y))$
- (2)  $\exists d \in \{1, \dots, k\} \forall x, y \in \Gamma^k : (\neq(x, y) \wedge (x_d < y_d) \Rightarrow f(x) > f(y))$

Since  $d$  is clearly unique for each  $f$ , setting  $\xi(f) := \pi_d^k$  defines a function  $\xi$  from  $\text{Pol}(\Gamma)$  onto  $\mathbf{1}$ . Straightforward:  $\xi$  is continuous homomorphism.

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- and the Henson graphs (Herwig'98).

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But this doesn't answer my questions for **polymorphism clones**:

- when does the abstract clone determine the topological one?
- does the complexity of  $\text{CSP}(\Gamma)$  only depend on the abstract clone of  $\Gamma$ ?

*Topological Birkhoff*, Manuel Bodirsky and Michael Pinsker,  
<http://arxiv.org/abs/1203.1876>, 2012.