

Local monotonicities and lattice derivatives of Boolean and pseudo-Boolean functions

Tamás Waldhauser

joint work with Miguel Couceiro and Jean-Luc Marichal

University of Szeged

AAA 83

Novi Sad, 16 March 2012

Partial derivatives

- **Boolean function:** $f: \{0, 1\}^n \rightarrow \{0, 1\}$

Partial derivatives

- **Boolean function:** $f: \{0, 1\}^n \rightarrow \{0, 1\}$
- **pseudo-Boolean function:** $f: \{0, 1\}^n \rightarrow \mathbb{R}$

Partial derivatives

- **Boolean function:** $f: \{0, 1\}^n \rightarrow \{0, 1\}$
- **pseudo-Boolean function:** $f: \{0, 1\}^n \rightarrow \mathbb{R}$
- The **partial derivative** of $f: \{0, 1\}^n \rightarrow \mathbb{R}$ w.r.t. x_k is the function $\Delta_k f: \{0, 1\}^n \rightarrow \mathbb{R}$ defined by

$$\begin{aligned}\Delta_k f(\mathbf{x}) &= f(\mathbf{x}_k^1) - f(\mathbf{x}_k^0) \\ &= f(x_1, \dots, 1, \dots, x_n) - f(x_1, \dots, 0, \dots, x_n).\end{aligned}$$

Observe that $\Delta_k f$ does not depend on x_k .

Partial derivatives

- **Boolean function**: $f: \{0, 1\}^n \rightarrow \{0, 1\}$
- **pseudo-Boolean function**: $f: \{0, 1\}^n \rightarrow \mathbb{R}$
- The **partial derivative** of $f: \{0, 1\}^n \rightarrow \mathbb{R}$ w.r.t. x_k is the function $\Delta_k f: \{0, 1\}^n \rightarrow \mathbb{R}$ defined by

$$\begin{aligned}\Delta_k f(\mathbf{x}) &= f(\mathbf{x}_k^1) - f(\mathbf{x}_k^0) \\ &= f(x_1, \dots, 1, \dots, x_n) - f(x_1, \dots, 0, \dots, x_n).\end{aligned}$$

Observe that $\Delta_k f$ does not depend on x_k .

Example

The partial derivatives of the **Boolean sum**

$f(x_1, x_2) = x_1 \oplus x_2 = x_1 + x_2 - 2x_1x_2$ are

$$\Delta_1 f(x_1, x_2) = f(1, x_2) - f(0, x_2) = 1 - 2x_2,$$

$$\Delta_2 f(x_1, x_2) = f(x_1, 1) - f(x_1, 0) = 1 - 2x_1.$$

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **antitone** (negative, order-reversing, nonincreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \leq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **antitone** (negative, order-reversing, nonincreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \leq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **monotone** in x_k if it is either isotone or antitone in x_k , i.e., if $\Delta_k f(\mathbf{x})$ does not change sign.

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **antitone** (negative, order-reversing, nonincreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \leq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **monotone** in x_k if it is either isotone or antitone in x_k , i.e., if $\Delta_k f(\mathbf{x})$ does not change sign.
- f is **monotone (isotone, antitone)** if it is monotone (isotone, antitone) in all of its variables.

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **antitone** (negative, order-reversing, nonincreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \leq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **monotone** in x_k if it is either isotone or antitone in x_k , i.e., if $\Delta_k f(\mathbf{x})$ does not change sign.
- f is **monotone (isotone, antitone)** if it is monotone (isotone, antitone) in all of its variables.
- All unary functions are monotone.

Monotonicity

- f is **isotone** (positive, order-preserving, nondecreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \geq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **antitone** (negative, order-reversing, nonincreasing) in x_k if

$$\Delta_k f(\mathbf{x}) \leq 0 \text{ for all } \mathbf{x} \in \{0, 1\}^n.$$

- f is **monotone** in x_k if it is either isotone or antitone in x_k , i.e., if $\Delta_k f(\mathbf{x})$ does not change sign.
- f is **monotone (isotone, antitone)** if it is monotone (isotone, antitone) in all of its variables.
- All unary functions are monotone.
- The only non-monotone binary Boolean functions are $x_1 \oplus x_2$ and $x_1 \oplus x_2 \oplus 1$.

Local monotonicities

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ is **p -locally monotone** if, for every $k \in [n]$ and every $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$, we have

$$\sum_{i \in [n] \setminus \{k\}} |x_i - y_i| < p \quad \Rightarrow \quad \Delta_k f(\mathbf{x}) \Delta_k f(\mathbf{y}) \geq 0.$$

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ is **p -locally monotone** if, for every $k \in [n]$ and every $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$, we have

$$\sum_{i \in [n] \setminus \{k\}} |x_i - y_i| < p \quad \Rightarrow \quad \Delta_k f(\mathbf{x}) \Delta_k f(\mathbf{y}) \geq 0.$$

- p -local monotonicity implies $(p - 1)$ -local monotonicity.

Local monotonicities

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ is **p -locally monotone** if, for every $k \in [n]$ and every $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$, we have

$$\sum_{i \in [n] \setminus \{k\}} |x_i - y_i| < p \quad \Rightarrow \quad \Delta_k f(\mathbf{x}) \Delta_k f(\mathbf{y}) \geq 0.$$

- p -local monotonicity implies $(p - 1)$ -local monotonicity.
- An n -ary function is n -locally monotone iff it is monotone.

Local monotonicities

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ is **p -locally monotone** if, for every $k \in [n]$ and every $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$, we have

$$\sum_{i \in [n] \setminus \{k\}} |x_i - y_i| < p \quad \Rightarrow \quad \Delta_k f(\mathbf{x}) \Delta_k f(\mathbf{y}) \geq 0.$$

- p -local monotonicity implies $(p - 1)$ -local monotonicity.
- An n -ary function is n -locally monotone iff it is monotone.
- Every function is 1-locally monotone.

Local monotonicities

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ is **p -locally monotone** if, for every $k \in [n]$ and every $\mathbf{x}, \mathbf{y} \in \{0, 1\}^n$, we have

$$\sum_{i \in [n] \setminus \{k\}} |x_i - y_i| < p \quad \Rightarrow \quad \Delta_k f(\mathbf{x}) \Delta_k f(\mathbf{y}) \geq 0.$$

- p -local monotonicity implies $(p - 1)$ -local monotonicity.
- An n -ary function is n -locally monotone iff it is monotone.
- Every function is 1-locally monotone.

Theorem

A Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is 2-locally monotone iff

$$|\Delta_k f(\mathbf{x}) - \Delta_k f(\mathbf{y})| \leq \sum_{i \in [n] \setminus \{k\}} |x_i - y_i|.$$

Lattice derivatives

We define the **partial lattice derivatives** of $f: \{0, 1\}^n \rightarrow \mathbb{R}$ w.r.t. x_k by

$$\begin{aligned}\wedge_k f: \{0, 1\}^n &\rightarrow \mathbb{R}, \quad \wedge_k f(\mathbf{x}) = f(\mathbf{x}_k^0) \wedge f(\mathbf{x}_k^1) = \min(f(\mathbf{x}_k^0), f(\mathbf{x}_k^1)), \\ \vee_k f: \{0, 1\}^n &\rightarrow \mathbb{R}, \quad \vee_k f(\mathbf{x}) = f(\mathbf{x}_k^0) \vee f(\mathbf{x}_k^1) = \max(f(\mathbf{x}_k^0), f(\mathbf{x}_k^1)).\end{aligned}$$

Lattice derivatives

We define the **partial lattice derivatives** of $f: \{0, 1\}^n \rightarrow \mathbb{R}$ w.r.t. x_k by

$$\wedge_k f: \{0, 1\}^n \rightarrow \mathbb{R}, \quad \wedge_k f(\mathbf{x}) = f(\mathbf{x}_k^0) \wedge f(\mathbf{x}_k^1) = \min(f(\mathbf{x}_k^0), f(\mathbf{x}_k^1)),$$

$$\vee_k f: \{0, 1\}^n \rightarrow \mathbb{R}, \quad \vee_k f(\mathbf{x}) = f(\mathbf{x}_k^0) \vee f(\mathbf{x}_k^1) = \max(f(\mathbf{x}_k^0), f(\mathbf{x}_k^1)).$$

Example

The lattice derivatives of the Boolean sum $f(x_1, x_2) = x_1 \oplus x_2$ are

$$\wedge_1 f(x_1, x_2) = f(1, x_2) \wedge f(0, x_2) = (1 \oplus x_2) \wedge x_2 = 0,$$

$$\vee_1 f(x_1, x_2) = f(1, x_2) \vee f(0, x_2) = (1 \oplus x_2) \vee x_2 = 1.$$

The second-order lattice derivatives are

$$\vee_2 \wedge_1 f(x_1, x_2) = \vee_2 0 = 0,$$

$$\wedge_1 \vee_2 f(x_1, x_2) = \wedge_1 1 = 1.$$

Permutable lattice derivatives

Theorem

A Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is 2-locally monotone iff

$$\bigvee_k \wedge_j f = \wedge_j \bigvee_k f \text{ for all } j \neq k.$$

Permutable lattice derivatives

Theorem

A Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is 2-locally monotone iff

$$\bigvee_k \wedge_j f = \wedge_j \bigvee_k f \text{ for all } j \neq k.$$

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ has **p -permutable lattice derivatives**, if

$$O_{k_1} \cdots O_{k_p} f = O_{k_{\pi(1)}} \cdots O_{k_{\pi(p)}} f$$

holds for every p -element set $\{k_1, \dots, k_p\} \subseteq \{1, \dots, n\}$, for all operators $O_{k_i} \in \{\wedge_{k_i}, \vee_{k_i}\}$ and for every permutation $\pi \in \mathcal{S}_p$.

Permutable lattice derivatives

Theorem

A Boolean function $f: \{0, 1\}^n \rightarrow \{0, 1\}$ is 2-locally monotone iff

$$\bigvee_k \wedge_j f = \wedge_j \bigvee_k f \text{ for all } j \neq k.$$

Definition

We say that $f: \{0, 1\}^n \rightarrow \mathbb{R}$ has **p -permutable lattice derivatives**, if

$$O_{k_1} \cdots O_{k_p} f = O_{k_{\pi(1)}} \cdots O_{k_{\pi(p)}} f$$

holds for every p -element set $\{k_1, \dots, k_p\} \subseteq \{1, \dots, n\}$, for all operators $O_{k_i} \in \{\wedge_{k_i}, \vee_{k_i}\}$ and for every permutation $\pi \in \mathcal{S}_p$.

Theorem

If a function has p -permutable lattice derivatives, then it has $(p - 1)$ -permutable lattice derivatives.

Local monotonicities vs. permutable lattice derivatives

Theorem

If a function is p -locally monotone, then it has p -permutable lattice derivatives.

Local monotonicities vs. permutable lattice derivatives

Theorem

If a function is p -locally monotone, then it has p -permutable lattice derivatives.

Example

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$ be the function that takes the value 0 on all tuples of the form

$$\left(\overbrace{1, \dots, 1}^m, 0, \dots, 0\right) \text{ with } 0 \leq m \leq n,$$

and takes the value 1 everywhere else. Then f has n -permutable lattice derivatives, but it is only 2-locally monotone.

Local monotonicities vs. permutable lattice derivatives

Theorem

If a function is p -locally monotone, then it has p -permutable lattice derivatives.

Example

Let $f: \{0, 1\}^n \rightarrow \{0, 1\}$ be the function that takes the value 0 on all tuples of the form

$$\left(\overbrace{1, \dots, 1}^m, 0, \dots, 0\right) \text{ with } 0 \leq m \leq n,$$

and takes the value 1 everywhere else. Then f has n -permutable lattice derivatives, but it is only 2-locally monotone.

Theorem

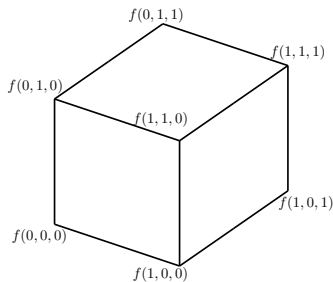
For symmetric functions, p -local monotonicity is equivalent to p -permutability of lattice derivatives.

Sections

A **section** of a function f is any function g that can be obtained from f by substituting constants to some of the variables of f .

For example, if $f: \{0, 1\}^3 \rightarrow \mathbb{R}$, then

$g: \{0, 1\}^2 \rightarrow \mathbb{R}$, $g(x_1, x_2) := f(x_1, x_2, 0)$ is a section of f .

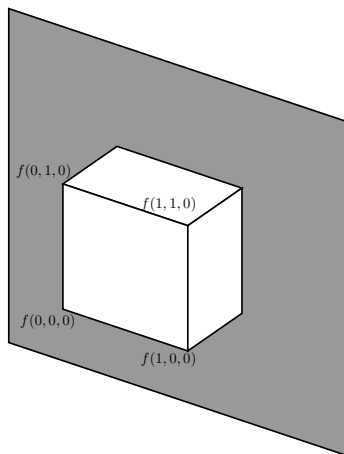


Sections

A **section** of a function f is any function g that can be obtained from f by substituting constants to some of the variables of f .

For example, if $f: \{0, 1\}^3 \rightarrow \mathbb{R}$, then

$g: \{0, 1\}^2 \rightarrow \mathbb{R}$, $g(x_1, x_2) := f(x_1, x_2, 0)$ is a section of f .

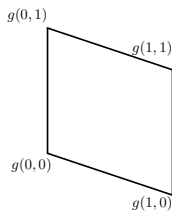


Sections

A **section** of a function f is any function g that can be obtained from f by substituting constants to some of the variables of f .

For example, if $f: \{0, 1\}^3 \rightarrow \mathbb{R}$, then

$g: \{0, 1\}^2 \rightarrow \mathbb{R}$, $g(x_1, x_2) := f(x_1, x_2, 0)$ is a section of f .



Forbidden sections

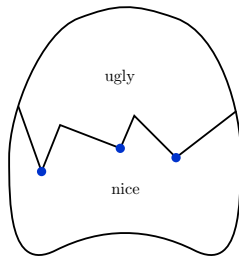
Theorem

If a function is nice, then all of its sections are also nice, where “nice” stands for any of the previously discussed properties.

Forbidden sections

Theorem

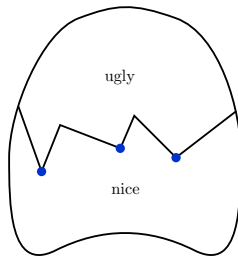
If a function is nice, then all of its sections are also nice, where “nice” stands for any of the previously discussed properties.



Forbidden sections

Theorem

If a function is nice, then all of its sections are also nice, where “nice” stands for any of the previously discussed properties.



Corollary

A function is nice iff none of the minimal ugly functions appear among its sections.

Forbidden sections

Theorem

A Boolean function is isotone iff $x_1 \oplus 1$ does not appear among its sections.

Forbidden sections

Theorem

A Boolean function is isotone iff $x_1 \oplus 1$ does not appear among its sections.

Theorem

A Boolean function is 2-locally monotone iff neither $x_1 \oplus x_2$ nor $x_1 \oplus x_2 \oplus 1$ appears among its sections.

Forbidden sections

Theorem

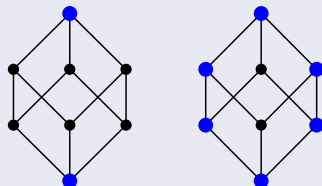
A Boolean function is isotone iff $x_1 \oplus 1$ does not appear among its sections.

Theorem

A Boolean function is 2-locally monotone iff neither $x_1 \oplus x_2$ nor $x_1 \oplus x_2 \oplus 1$ appears among its sections.

Conjecture

A Boolean function has permutable lattice derivatives iff none of the following functions appear among its sections:

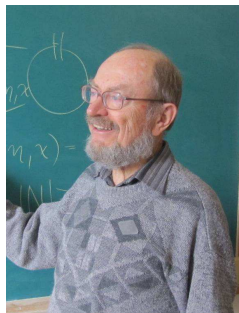




M. Couceiro, J.-L. Marichal, T. Waldhauser, *Locally monotone Boolean and pseudo-Boolean functions*, to appear in *Discrete Applied Mathematics*, arXiv:1107.1161.

Conference on Universal Algebra and Lattice Theory Szeged, Hungary, June 21–25, 2012

<http://www.math.u-szeged.hu/algebra2012>



Dedicated to the 80th birthday of Béla Csákány