# Deciding subpower membership for semigroups 

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## Deciding subpower membership for semigroups

Joint work with

- Andrei Bulatov (Vancouver)
- Peter Mayr (Linz)

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Fix a finite semigroup $S$.
Define the subpower membership problem for S (Willard, 2007 [5])
SMP(S)
Input:
Tuples $a_{1}, \ldots, a_{k}, b \in S^{n}$.
Problem: Is $b$ in the subsemigroup of $S^{n}$ generated by $a_{1}, \ldots, a_{k}$ ?

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All semigroups in this talk are finite.

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What is the complexity with respect to $n, k$ ?
Theorem (Bulatov, Mayr, S., manuscript 2015)
$\operatorname{SMP}(S)$ for a semigroup $S$ is in PSPACE.

Theorem (S., manuscript 2014)
Let $S$ be a semigroup. If there are a, e,f $f$ s.t.

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\begin{equation*}
a \notin\left\{a^{2}, a^{3}, \ldots\right\} \quad \text { and } \quad e a=a=a f, \tag{1}
\end{equation*}
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then $\operatorname{SMP}(S)$ is NP-hard.
Proof.
By reducing SAT to $\operatorname{SMP}(S)$.

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Lemma (Bulatov, Mayr, S., manuscript 2015)
In a commutative semigroup $S$, TFAE:

1. $S$ violates (1)
2. $S$ has an ideal $C$ which is a union of groups, and $S / C$ is nilpotent, i.e.

$$
\exists d \in \mathbb{N} \forall s_{1}, \ldots, s_{d} \in S: s_{1} \cdots s_{d} \in C
$$

In this case we say $S$ is a nilpotent ideal extension of $C$.

Lemma (Bulatov, Mayr, S., manuscript 2015)
SMP $(C)$ for a commutative union of groups $C$ is in P .

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Fix an instance $a_{1}, \ldots, a_{k}, b \in S^{n}$.
Assume $b \in\left\langle a_{1}, \ldots, a_{k}\right\rangle$.
Then $b=a_{1}{ }^{e_{1}} \cdots a_{k}{ }^{e_{k}}$

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## Proof.

Fix an instance $a_{1}, \ldots, a_{k}, b \in S^{n}$.
Assume $b \in\left\langle a_{1}, \ldots, a_{k}\right\rangle$.
Then $b=a_{1}{ }^{e_{1}} \cdots a_{k}{ }^{e_{k}}$ for some $e_{1}, \ldots, e_{k} \leq|S|$ !.
Now $\left(e_{1}, \ldots, e_{k}\right)$ is a witness whose size is linear in $k$.

## Dichotomy for commutative semigroups

We have established:

Theorem (Bulatov, Mayr, S., manuscript 2015)
Let $S$ be a commutative semigroup.

1. $\operatorname{SMP}(S)$ is in P if $S$ is a nilpotent ideal extension of a union of groups.
2. It is NP-complete otherwise.

## SMP for semigroups

Reminder:
Theorem (S., manuscript 2014)
Let $S$ be a semigroup. If there are $a, e, f \in S$ s.t.

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then $\operatorname{SMP}(S)$ is NP-hard.
Let $\mathcal{L}, \mathcal{R}, \mathcal{J}, \mathcal{H}, \mathcal{D}$ denote Green's equivalences.

Corollary
If a semigroup $S$ has a $\mathcal{D}$-class with group and non-group $\mathcal{H}$-classes, then $\operatorname{SMP}(S)$ is NP-hard.

## SMP for the Brandt semigroup

Corollary
The SMP for the Brandt Semigroup

$$
B_{2}:=\left\{\left(\begin{array}{ll}
0 & 0 \\
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\end{array}\right),\left(\begin{array}{ll}
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is NP-hard.
Theorem (S., manuscript 2014)
$\operatorname{SMP}\left(B_{2}\right)$ is NP-complete.
Proof.
Fix an instance $a_{1}, \ldots, a_{k}, b \in B_{2}{ }^{n}$.
Assume $b=f\left(a_{1}, \ldots, a_{k}\right)$ for some $k$-ary term $f$.

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Assume $b=f\left(a_{1}, \ldots, a_{k}\right)$ for some $k$-ary term $f$.
Now there is a $k$-ary term $g$ s.t.

1. $g\left(a_{1}, \ldots, a_{k}\right)=f\left(a_{1}, \ldots, a_{k}\right)$, and
2. $\ell(g) \leq(n+1) k$.

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Theorem (S., manuscript 2014)

1. If a 0 -simple semigroup $S$ is a union of groups, then $\operatorname{SMP}(S)$ is in $P$.
2. Otherwise it is NP-hard.

## SMP for bands

Commutative unions of groups and 0-simple unions of groups have SMP in $P$.

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A band is called regular iff it satisfies $x y x z x \approx x y z x$.

Theorem (S., manuscript 2014)
$\operatorname{SMP}(S)$ for a regular band $S$ is in P .
Proof.
Is based on $x y x z x \approx x y z x$.

## Varieties of bands (idempotent semigroups)

The lattice of varieties of bands is well-known:
Theorem (Birjukov, Fennemore, Gerhard, 1970s [1, 2, 3])

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2. Each variety is defined by

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3. The proper subvarieties form the lattice on the next slide.

## Varieties of bands (idempotent semigroups)



Lattice of varieties of bands, taken from "Varieties of bands revisited" by Gerhard and Petrich, 1989 [4].
$G_{n}, H_{n}, I_{n}$ are systems of terms. $\bar{G}_{n}, \bar{H}_{n}, \bar{I}_{n}$ are the reversed counterparts.

## Varieties of bands (idempotent semigroups)



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Theorem (S., manuscript 2014)
Bands in the variety
[ $\left.\bar{G}_{4} G_{4} \approx \bar{H}_{4} H_{4}\right]$ have SMP in P .
Proof.
Is based on the identities $G_{4} \approx H_{4}$ and $\bar{G}_{4} \approx \bar{H}_{4}$.

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The goal was to work our way up this lattice.

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We were not able to determine the complexity for bands in $\mathcal{V}$ using the equations of $\mathcal{V}$.

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1. $S_{10}$ generates the same variety as $S_{9}$;
2. $\operatorname{SMP}\left(S_{10}\right)$ is still in P .

Eggbox diagrams of $S_{10}$ and $S_{9}$
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$S_{9}$


SMP NP-hard

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$S_{10}$


SMP in $P$
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Corollary
The SMP for a homomorphic image can be harder than the SMP for the original semigroup (in case $\mathrm{P} \neq \mathrm{NP}$ ).

## SMP for bands (idempotent semigroups)



The identities of $\mathcal{V}=\left[\bar{G}_{3} \approx \bar{T}_{3}\right]$ do not help us anymore.

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A quasiidentity is an expression of the form

$$
\left(s_{1} \approx t_{1} \& \ldots \& s_{k} \approx t_{k}\right) \rightarrow u \approx v
$$

We say a semigroup $S$ fulfills a quasiidentity iff $S$ satisfies the identity on the RHS whenever it satisfies the identities on the LHS.

## Quasiidentities

The "behavior" of $S_{9}$ and $S_{10}$ led us to the following quasiidentity:

$$
\&\left(\begin{array}{c}
d x y e \approx d e \\
h e \approx e \\
h x \approx x \\
d e d \approx d \\
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The band $S_{10}$ fulfills $\lambda$, whereas $S_{9}$ does not.

Theorem (S., manuscript 2015)

1. If a band $S$ fulfills $\lambda$ and the dual quasiidentity, then $\operatorname{SMP}(S)$ is in P .
2. Otherwise it is NP-hard.

## SMP for bands is in NP

## Lemma (cf. Gerhard and Petrich, 1989 [4])

Let $S$ be a finite band. Then there is a polynomial $p$ such that each term function $t: S^{k} \rightarrow S$ is induced by a term of length $p(k)$.

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## Conclusion

Theorem (Bulatov, Mayr, S., manuscript 2015)
Let $S$ be a commutative semigroup.

1. $\operatorname{SMP}(S)$ is in P if $S$ is a nilpotent ideal extension of a union of groups.
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Theorem (S., manuscript 2014)

1. If a 0 -simple semigroup $S$ is zero divisor free, then $\operatorname{SMP}(S)$ is in $P$.
2. Otherwise it is NP-hard.

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There are two finite bands

1. which generate the same variety, and
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Много вам хвала!

## Multiplication tables

| $S_{9}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 |  |
| 2 | 2 | 2 | 4 | 4 | 5 | 6 | 7 | 8 | 9 |  |
| 3 | 3 | 3 | 3 | 3 | 3 | 6 | 7 | 8 | 9 |  |
| 4 | 4 | 4 | 4 | 4 | 4 | 6 | 7 | 8 | 9 |  |
| 5 | 5 | 5 | 5 | 5 | 5 | 6 | 7 | 8 | 9 |  |
| 6 | 6 | 7 | 8 | 9 | 8 | 6 | 7 | 8 | 9 |  |
| 7 | 7 | 7 | 9 | 9 | 8 | 6 | 7 | 8 | 9 |  |
| 8 | 8 | 8 | 8 | 8 | 8 | 6 | 7 | 8 | 9 |  |
| 9 | 9 | 9 | 9 | 9 | 9 | 6 | 7 | 8 | 9 |  |
|  |  |  |  |  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |  |  |  |
| $S_{10}$ | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 |
| 2 | 2 | 2 | 3 | 5 | 5 | 6 | 7 | 8 | 9 | 10 |
| 3 | 3 | 3 | 3 | 3 | 3 | 6 | 7 | 8 | 9 | 10 |
| 4 | 4 | 4 | 4 | 4 | 4 | 6 | 7 | 8 | 9 | 10 |
| 5 | 5 | 5 | 5 | 5 | 5 | 6 | 7 | 8 | 9 | 10 |
| 6 | 6 | 7 | 10 | 8 | 9 | 6 | 7 | 8 | 9 | 10 |
| 7 | 7 | 7 | 10 | 9 | 9 | 6 | 7 | 8 | 9 | 10 |
| 8 | 8 | 8 | 8 | 8 | 8 | 6 | 7 | 8 | 9 | 10 |
| 9 | 9 | 9 | 9 | 9 | 9 | 6 | 7 | 8 | 9 | 10 |
| 10 | 10 | 10 | 10 | 10 | 10 | 6 | 7 | 8 | 9 | 10 |

## Reduce SAT to $\operatorname{SMP}\left(S_{9}\right)$

SAT (satisfiability of boolean formulas)
Input: A boolean formula $\phi:=\bigwedge_{i=1}^{n} c_{i}\left(x_{1}, \ldots, x_{k}\right)$ in conjunctive normal form.
Problem: Is $\phi$ satisfiable?

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Problem: Is $\phi$ satisfiable?

Encode the SAT instance into one of $\operatorname{SMP}\left(S_{9}\right)$ :

$$
\left\{a_{1}^{0}, \ldots, a_{k}^{0}, a_{1}^{1}, \ldots, a_{k}^{1}, u, v\right\}, b \text { in } S_{9}{ }^{n+2 k} .
$$

In $a_{i}^{0}$ we encode in which clauses $\neg x_{i}$ occurs.
In $a_{i}^{1}$ we encode in which clauses $x_{i}$ occurs.

