

Identities in upper triangular tropical matrix semigroups and the bicyclic monoid

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Joint work with Laure Daviaud and Mark Kambites

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Semigroup identities for matrices?

Let Σ be an alphabet, and consider two words $w, v \in \Sigma^+$.
Say that $w = v$ is a **semigroup identity** for S if $\varphi(w) = \varphi(v)$
for all semigroup morphisms $\varphi : \Sigma^+ \rightarrow S$.

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 $M_n(K)$ for $n > 1$, K a **finite field** is not finitely based.

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$M_n(K)$ for $n > 1$, K a **finite field** is not finitely based.

- ▶ Or none at all...

Golubchik and Mikhalev, 1978:

$M_n(K)$ for $n > 1$, K an **infinite field** does not satisfy a non-trivial semigroup identity.

The tropical semifield

Let \mathbb{T} denote the **tropical semifield** $(\mathbb{R} \cup \{-\infty\}, \oplus, \otimes)$, where \oplus and \otimes denote two binary operations defined by:

$$x \oplus y := \max(x, y), \quad x \otimes y := x + y.$$

▶ Addition is idempotent.

$$x \oplus x = x.$$

▶ 0 is ‘one’:

$$x \otimes 0 = 0 \otimes x = x.$$

▶ $-\infty$ is ‘zero’:

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The **semigroup** $M_n(\mathbb{T})$ is the set of all $n \times n$ **tropical matrices**, with multiplication \otimes defined in the obvious way:

$$\begin{pmatrix} 2 & 1 \\ 0 & 19 \end{pmatrix} \otimes \begin{pmatrix} -1 & -1 \\ -\infty & 4 \end{pmatrix} = \begin{pmatrix} 1 & 5 \\ -1 & 23 \end{pmatrix}$$

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We write $UT_n(\mathbb{T})$ to denote the subsemigroup of all upper triangular tropical matrices.

Tropical matrix identities

Does $M_n(\mathbb{T})$ satisfy a non-trivial semigroup identity?

- ▶ Yes, for $n = 2$, (Izhakian and Margolis, 2010).
- ▶ Yes, for $n = 3$ (Shitov, 2014).
- ▶ Open for $n > 3$.

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Tropical matrices can provide a tool for studying other interesting semigroups.

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- ▶ Free monogenic inverse monoid embeds into $UT_3(\mathbb{T})$.

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What is the variety generated by $UT_n(\mathbb{T})$?

- ▶ For $n = 2$ we show that $UT_2(\mathbb{T})$ generates the same semigroup variety as the bicyclic monoid.
- ▶ Several variants of these two also generate the same variety.

The case $n = 2$: Tropical polynomials

For $w \in \Sigma^*$, $s \in \Sigma$ define a formal tropical polynomial in variables x_t for $t \in \Sigma$ by:

$$f_s^w = \bigoplus_{w_i=s} \bigotimes_{t \in \Sigma} x_t^{\otimes \lambda_t^w(i-1)},$$

where $\lambda_t^w(k) = \#t$'s in the first k letters of w .

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Theorem: Let $w, v \in \Sigma^+$.

The identity $w = v$ holds on $UT_2(\mathbb{T})$ if and only if

$f_s^w(\underline{x}) = f_s^v(\underline{x})$ for all $s \in \Sigma$ and all $\underline{x} \in \mathbb{R}^\Sigma$.

The case $n = 2$: Two letter identities

In the case where w and v are words on a two letter alphabet, it suffices to compare four pairs of tropical polynomials in just **one** variable:

Corollary: Let $w, v \in \Sigma^+$, where $\Sigma = \{a, b\}$.

The identity $w = v$ holds on $UT_2(\mathbb{T})$ if and only if for all $x \in \mathbb{R}$ we have:

- ▶ $f_a^w(x, 1) = f_a^v(x, 1)$,
- ▶ $f_a^w(x, -1) = f_a^v(x, -1)$,
- ▶ $f_b^w(x, 1) = f_b^v(x, 1)$, and
- ▶ $f_b^w(x, -1) = f_b^v(x, -1)$.

The case $n = 2$: Example

$$f_s^u = \bigoplus_{u_i=s} \bigotimes_{t \in \Sigma} x_t^{\otimes \lambda_t^u(i-1)}$$

Let $w := abba ab abba$ and $v = abba ba abba$.

Then for example,

$$f_a^w(x_a, x_b) = x_a^0 \otimes x_b^0 \oplus x_a^1 \otimes x_b^2 \oplus x_a^2 \otimes x_b^2 \oplus x_a^3 \otimes x_b^3 \oplus x_a^4 \otimes x_b^5$$

$$f_a^v(x_a, x_b) = x_a^0 \otimes x_b^0 \oplus x_a^1 \otimes x_b^2 \oplus x_a^2 \otimes x_b^3 \oplus x_a^3 \otimes x_b^3 \oplus x_a^4 \otimes x_b^5$$

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Connection to the bicyclic monoid

Izhakian and Margolis...

- ▶ Gave an embedding of the bicyclic monoid \mathcal{B} into $UT_2(\mathbb{T})$.
So, any identity satisfied by $UT_2(\mathbb{T})$ is also satisfied by \mathcal{B} .
- ▶ Proved that $UT_2(\mathbb{T})$ satisfies **Adjan's identity**:

$$abba \ ab \ abba = abba \ ba \ abba,$$

thus exhibiting a minimal length identity for $UT_2(\mathbb{T})$.

- ▶ Asked: Do \mathcal{B} and $UT_2(\mathbb{T})$ generate the same variety?

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- ▶ Asked: Do \mathcal{B} and $UT_2(\mathbb{T})$ generate the same variety?

We use our tropical polynomials to answer this question...

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Some observations about these two(?) varieties...

- ▶ Checking whether an identity holds amounts to checking certain linear inequalities.

For \mathcal{B} : Pastijn, 2006. For $UT_2(\mathbb{T})$: DJK, 2017.

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For \mathcal{B} : Pastijn, 2006. For $UT_2(\mathbb{T})$: DJK, 2017.

- ▶ The variety generated is not finitely based.

For \mathcal{B} : Shneerson, 1989. (\mathcal{B} has infinite axiomatic rank.)

For $UT_2(\mathbb{T})$: Chen, Hu, Luo, O. Sapir, 2016.

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Theorem: \mathcal{B} and $UT_2(\mathbb{T})$ generate the same variety.

- ▶ We show that if $w \neq v$ in $UT_2(\mathbb{T})$, then there is a morphism from Σ^+ to the image of \mathcal{B} in $UT_2(\mathbb{T})$ that falsifies the identity.

Variants

Let $\mathcal{T} = \mathbb{R}, \mathbb{Q}, \mathbb{Z}$. Can also consider: $\mathcal{T}_{\max} := (\mathcal{T} \cup \{-\infty\}, \oplus, \otimes)$.

- ▶ Define the semigroup $\mathcal{B}_{\mathcal{T}} := \mathcal{T} \times \mathcal{T}$ via the product

$$(a, b) \cdot (c, d) = (a - b + \max(b, c), \quad d - c + \max(b, c)).$$

- ▶ For each \mathcal{T} as above we have

$$\mathcal{B} \cong \mathcal{B}_{\mathbb{N}_0} \subseteq \mathcal{B}_{\mathcal{T}} \hookrightarrow UT_2(\mathcal{T}_{\max}) \hookrightarrow UT_2(\mathbb{T}).$$

- ▶ Since \mathcal{B} and $UT_2(\mathbb{T})$ generate the same semigroup variety, it follows that each of the intermediate variants above must satisfy exactly the same semigroup identities as these two.

Generalisation

Given a set Γ equipped with a reflexive and transitive relation R , define a semigroup:

$$\Gamma(\mathbb{T}) = \{A \in \mathbb{T}^{\Gamma \times \Gamma} : A \text{ is bounded above and } A_{i,j} \neq -\infty \Rightarrow iRj\},$$

with product

$$(A \otimes B)(i, j) = \bigoplus_{k \in \Gamma} A(i, k) \otimes B(k, j).$$

Examples to keep in mind...

- ▶ $\Gamma = \{1, \dots, n\}$, R the complete relation: $M_n(\mathbb{T})$.
- ▶ $\Gamma = \{1, \dots, n\}$, R the natural order: $UT_n(\mathbb{T})$.
- ▶ If Γ is a poset in with a global bound on the length of chains, then checking whether an identity holds in $\Gamma(\mathbb{T})$ is easy...

Bounded chains and tropical polynomials

Theorem: Γ a poset with maximum chain length n .

$w = v$ holds in $\Gamma(\mathbb{T})$ if and only if $f_{u,\rho}^w(\underline{x}) = f_{u,\rho}^v(\underline{x})$ for all:

- ▶ words $u \in \Sigma^*$ of length at most $n - 1$;
- ▶ chains $\rho = (\rho_0 \preceq \rho_1 \preceq \cdots \preceq \rho_{|u|})$ in Γ ; and
- ▶ values $\underline{x} \in \mathbb{R}^{\Sigma \times \Gamma}$.

Formal tropical polynomials in variables $x(s, i)$, ($s \in \Sigma, i \in \Gamma$):

$$f_{u,\rho}^w := \bigoplus_{s \in \Sigma} \bigotimes_{k=0}^{|u|} x(s, \rho_k)^{\beta_s^w(\alpha_k, \alpha_{k+1})},$$

where the sum ranges over all sequences:

$0 = \alpha_0 < \alpha_1 < \cdots < \alpha_{|u|} < \alpha_{|u|+1} = |w| + 1$ in which $w_{\alpha_k} = u_k$,
and where $\beta_s^w(\alpha_k, \alpha_{k+1}) = \#s$ strictly between w_{α_k} and $w_{\alpha_{k+1}}$.

Bounded chains and tropical polynomials

Corollary: Γ a poset with maximum chain length n .

- ▶ $\Gamma(\mathbb{T})$ and $UT_n(\mathbb{T})$ generate the same variety.
 - ▶ $\Gamma(\mathbb{T})$ satisfies a non-trivial semigroup identity.
-
- ▶ The polynomials that must agree for $w = v$ to hold in $UT_n(\mathbb{T})$ are, up to a change of variables, the same as those that must agree for $w = v$ to hold in $\Gamma(\mathbb{T})$.
 - ▶ Since a non-trivial identity holds in each $UT_n(\mathbb{T})$, the result follows.

Example: The free monogenic inverse monoid

$$\mathcal{I} \cong \{(i, j, k) \in \mathbb{Z}^3 : i, j \geq 0, -j \leq k \leq i\}.$$

with product

$$(i, j, k) \cdot (i', j', k') = (\max(i, i' + k), \max(j, j' - k), k + k')$$

- ▶ Taking $\Gamma = \{1, 2, 3\}$ with partial order $1, 2 \preceq 3$ can see that $\mathcal{I} \hookrightarrow \Gamma(\mathbb{T}) \subseteq UT_3(\mathbb{T})$

$$(i, j, k) \mapsto \begin{pmatrix} k & -\infty & i \\ -\infty & -k & j \\ -\infty & -\infty & 0 \end{pmatrix}.$$

- ▶ Follows that \mathcal{I} satisfies all identities satisfied by $UT_2(\mathbb{T})$ (and hence \mathcal{B}).
- ▶ Can show that \mathcal{I} does not embed in $UT_2(\mathbb{T})$.

Further connections to the bicyclic monoid?

Izhakian and Margolis, 2010: Proved that...

- ▶ $UT_2(\mathbb{T})$ satisfies **Adjan's identity**:

$$abba\ ab\ abba = abba\ ba\ abba.$$

- ▶ $M_2(\mathbb{T})$ satisfies the identity:

$$a^2b^2b^2a^2\ a^2b^2\ a^2b^2b^2a^2 = a^2b^2b^2a^2\ b^2a^2\ a^2b^2b^2a^2.$$

Does “squaring” an identity for $UT_2(\mathbb{T})$ always yield an identity for $M_2(\mathbb{T})$?

No! E.g. $ab^2\ ab\ ab\ a^2b = ab^2\ a^2\ b^2\ a^2b$ holds in $UT_2(\mathbb{T})$.

But $a^2b^4\ a^2b^2\ a^2b^2\ a^4b^2 = a^2b^4\ a^4\ b^4\ a^4b^2$ doesn't hold in $M_2(\mathbb{T})$.

Tropical matrix identities are long

Izhakian and Margolis, 2010: $M_2(\mathbb{T})$ satisfies the identity:

$$a^2b^2b^2a^2 \quad a^2b^2 \quad a^2b^2b^2a^2 = a^2b^2b^2a^2 \quad b^2a^2 \quad a^2b^2b^2a^2.$$

Does a shorter identity hold for $M_2(\mathbb{T})$?

DJ, 2017: Yes! Although not much shorter...

- ▶ List of necessary conditions for identities over a two letter alphabet; rules out all words of length < 17 .
- ▶ Explicit calculation yields

$$a^2b^3 \quad a^3 \quad ba \quad ba \quad b^3a^2 = a^2b^3 \quad ab \quad ab \quad a^3 \quad b^3a^2.$$

The case $n = 2$: How the polynomials arise

Consider morphisms $\varphi : \Sigma^+ \rightarrow UT_2(\mathbb{T})$ of the form:

$$(\star) \quad \varphi(s) = \begin{pmatrix} x_s & x'_s \\ -\infty & 0 \end{pmatrix}, \text{ for all } s \in \Sigma.$$

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► For all $w \in \Sigma^+$, can see that:

$$\varphi(w)_{1,1} = \sum_{t \in \Sigma} |w|_t x_t, \quad \varphi(w)_{2,1} = -\infty, \quad \varphi(w)_{2,2} = 0$$

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$$\varphi(w)_{1,2} = \max_{s \in \Sigma} \left(\max_{w=usv} \left(\sum_{t \in \Sigma} |u|_t x_t + x'_s + 0 \right) \right)$$

The case $n = 2$: How the polynomials arise

Consider morphisms $\varphi : \Sigma^+ \rightarrow UT_2(\mathbb{T})$ of the form:

$$(\star) \quad \varphi(s) = \begin{pmatrix} x_s & x'_s \\ -\infty & 0 \end{pmatrix}, \text{ for all } s \in \Sigma.$$

► For all $w \in \Sigma^+$, can see that:

$$\varphi(w)_{1,1} = \sum_{t \in \Sigma} |w|_t x_t, \quad \varphi(w)_{2,1} = -\infty, \quad \varphi(w)_{2,2} = 0$$

$$\begin{aligned} \varphi(w)_{1,2} &= \max_{s \in \Sigma} \left(\max_{w=usv} \left(\sum_{t \in \Sigma} |u|_t x_t + x'_s + 0 \right) \right) \\ &= \max_{s \in \Sigma} \left(x'_s + \max_{w_i=s} \left(\sum_{t \in \Sigma} \lambda_t^w (i-1) x_t \right) \right) \end{aligned}$$

where $|w|_t$ = the number of occurrences of the letter t in w ,
and $\lambda_t^w(k) = \#t$'s in the first k letters of w .