# On Enumerating Subsemigroups of the Full Transformation Semigroup 

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2013.06.08. Novi Sad Algebra Conference

## Motivation

Practical need: to have a library of small transformation semigroups.
Personally, I am looking for interesting holonomy decompositions.

## Semigroup enumeration and classification

## Problems:

- There are lots of semigroups.
- Most of them are 3-nilpotent, i.e. they satisfy the $x y z=0$ identity.
"So, whereas groups are gems, all of them precious, the garden of semigroups is filled with weeds. One needs to yank out these weeds to find the interesting semigroups."

Rhodes, J., Steinberg, B.: The q-theory of Finite Semigroups. Springer (2008)

So, it is useless and hopeless.

## History of semigroup enumeration

1955 Forsythe, G. E., SWAC computes 126 distinct semigroups of order 4, Proc. Amer. Math. Soc., 6 (1955), 443-447.

Tetsuya, K., Hashimoto, T., Akazawa, T., Shibata, R., Inui, T. and Tamura, T., All semigroups of order at most 5, J. Gakugei Tokushima Univ. Nat. Sci. Math., 6 (1955), 19-39.
1967 Plemmons, R. J., There are 15973 semigroups of order 6, Math. Algorithms, 2 (1967), 2-17.
1977 Jürgensen, H. and Wick, P., Die Halbgruppen der Ordnungen $\leq 7$, Semigroup Forum, 14 (1) (1977), 69-79.
1994 Satoh, S., Yama, K. and Tokizawa, M., Semigroups of order 8, Semigroup Forum, 49 (1) (1994), 7-29.

## Current state of semigroup enumeration

Inspired by the SmallGroups Library for GAP and Magma there is now a GAP package called Smallsemi.

Smallsemi provides a database of all the small semigroups up to order 8, tools for identifying semigroups and their properties (e.g. commutative, band, inverse, regular, etc., 16 of them in total ).

The size of the compressed database is 22 Mbytes.
Andreas Distler, James D. Mitchell
http://www-groups.mcs.st-andrews.ac.uk/~jamesm/smallsemi/

## Number of semigroups of order $n$

| order | \#groups | \#semigroups | \#3-nilpotent semigroups |
| ---: | ---: | ---: | ---: |
| 1 | 1 | 1 | 0 |
| 2 | 1 | 4 | 0 |
| 3 | 1 | 18 | 1 |
| 4 | 2 | 126 | 8 |
| 5 | 1 | 1,160 | 84 |
| 6 | 2 | 15,973 | 2,660 |
| 7 | 1 | 836,021 | 609,797 |
| 8 | 5 | $1,843,120,128$ | $1,831,687,022$ |
| 9 | 2 | $52,989,400,714,478$ | $52,966,239,062,973$ |

The calculation was done by combining GAP and a Constraint Satisfaction Problem (CSP) solver Minion minion.sf.net.

## Enumerating transformation semigroups

Idea: Find the subsemigroups of the full transformation semigroup.
Straightforward brute-force algorithm: enumerate all subsets of $\mathcal{T}_{n}$ and keep those that form a subsemigroup.
However, there are $2^{n^{n}}$ subsets of $\mathcal{T}_{n}$.

| $n$ | $n^{n}$ | $2^{n^{n}}$ |
| :--- | :--- | :--- |
| 1 | 1 | 2 |
| 2 | 4 | 16 |
| 3 | 27 | 134217728 |
| 4 | 256 | 11579208923731619542357098500 <br> 86879078532699846656405640394 <br> 57584007913129639936 |
| 5 | 3125 | $2^{3125}$ |

We know lot more about permutation groups

| Subgroups of $\mathcal{S}_{n}$ |  |  |
| :--- | ---: | ---: |
| $n$ | \#distinct subgroups | \#conjugacy classes |
| 1 | 1 | 1 |
| 2 | 2 | 2 |
| 3 | 6 | 4 |
| 4 | 30 | 11 |
| 5 | 156 | 19 |
| 6 | 1455 | 56 |
| 7 | 11300 | 96 |
| 8 | 151221 | 296 |
| 9 | 1694723 | 554 |
| 10 | 29594446 | 1593 |
| 11 | 404126228 | 3094 |
| 12 | 10594925360 | 10723 |
| 13 | 175238308453 |  |
| A000638 and A005432 on oeis.org. | 20832 |  |

## All subsemigroups of $\mathcal{T}_{2}$

$$
1 \mapsto[1,1], 2 \mapsto[1,2], 3 \mapsto[2,1], 4 \mapsto[2,2]
$$



## Idea: systematic reduction of multiplication tables

Let $S$ be a semigroup, $n=|S|$. We fix an order on the semigroup elements, $s_{1}, \ldots, s_{n}$, thus we can easily refer to the elements by their indices.

## Definition

Then the multiplication table of $S$ is a $n \times n$ matrix $M$ with entries from $\{1, . ., n\}$ such that $M_{i, j}=k$ if $s_{i} s_{j}=s_{k}$. This table is often called the Cayley-table of the semigroup.

## Definition (cut, closed cut)

A cut is a subset of the semigroup, $K \subseteq S$ a set elements that we cut from the $M$. A cut is closed if the table spanned by $S \backslash K$ is a multiplication table, i.e. it is closed under multiplication.

## Forbidden Elements

## Definition (Forbidden Elements)

$$
F(K)=\left\{i \in S \backslash K \mid \exists j \in S \backslash K \text { such that } M_{i, j} \in K \text { or } M_{j, i} \in K\right\}
$$

i.e. those elements not in the cut, whose column or row contains an element in the cut.

## Example: $\mathcal{S}_{3}$

Consider $\mathcal{S}_{3}$ with the ordering: ()$,(2,3),(1,2),(1,2,3),(1,3,2),(1,3)$. The cut $K=\{2\}$ (i.e. removing $(2,3))$ is not a closed one.
$F(K)=\{3,4,5,6\}$
$K$ extended by the forbidden elements $K \cup F(K)=\{2,3,4,5,6\}$ is closed.

| $\mathbf{1}$ | 2 | 3 | 4 | 5 | 6 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | 1 | 4 | 3 | 6 | 5 |
| 3 | 5 | 1 | 6 | $\mathbf{2}$ | 4 |
| $\mathbf{4}$ | 6 | $\mathbf{2}$ | 5 | 1 | 3 |
| 5 | 3 | 6 | 1 | 4 | $\mathbf{2}$ |
| 6 | 4 | 5 | $\mathbf{2}$ | 3 | 1 |

## Problem

The closed cut $K \cup F(K)$ corresponds to the trivial subgroup. However there are more closed cuts including $K$ : $\{2,3,6\},\{2,3,4,5\},\{2,4,5,6\}$.

| 123456 | 123456 | 123456 |
| :--- | :--- | :--- |
| 214365 | 214365 | 214365 |
| 351624 | 351624 | 351624 |
| 462513 | 462513 | 462513 |
| 536142 | 536142 | 536142 |
| 645231 | 645231 | 645231 |

This means that we have to extend the cut one by one with the elements from the completion. Therefore we are back to the brute-force algorithm (actually even less efficient).

## Heuristics

(1) Diagonal closure.
(2) "Rescuing"
(3) Conjugacy.
(4) Dynamic programming.

## Definition (diagonal completion of a cut)

$$
D(K)=\left\{i \in S \backslash K \mid M_{i, i} \in K\right\}
$$

i.e. those elements not in the cut, whose diagonal contains an element in the cut.

## The diagonal closure of a cut

Iterating

$$
\Delta(K):=K \cup D(K)
$$

Since cutting an element from a diagonal can be done only one way, we can extend the cut by its diagonal completion.
Algorithm 1: Calculating the diagonal closure of a cut. input : $M$ multiplication table, $K$ a cut
output: $K$ extended to $\Delta(K)$ repeat
finished $\leftarrow$ true;
for $i \in S \backslash K$ do
if $M_{i, i} \in K$ then
$K \leftarrow K \cup\{i\} ;$
finished $\leftarrow$ false;
until finished;

Again using the multiplication table of $\mathcal{S}_{3}$ if we cut by $K=\{5\}$ we get the following table:

$$
\begin{array}{llllll}
1 & 2 & 3 & 4 & 5 \\
2 & 1 & 4 & 3 & 5 \\
3 & 5 & 1 & 6 & 4 \\
4 & 6 & 2 & 5 & 3 \\
5 & 6 & 6 & 1 & 4 & 2 \\
6 & 4 & 5 & 2 & 3
\end{array}
$$

5 appears in the diagonal for element 4 , so $\Delta(\{5\})=\{4,5\}$. In this particular case $\Delta(\{4\})$ is also $\{4,5\}$, but having the same closure is not a symmetric relation. For instance, $\Delta(\{1\})=\{1,2,3,6\}$ but $\Delta(\{6\})=\{6\}$.

## Exploiting symmetries

We use the most traditional approach to conjugacy for semigroups and define $G$-conjugacy. Elements $s, t \in S$ are $G$-conjugate, denoted by

$$
s \sim_{G} t, \text { if } s=g^{-1} t g \text { for some } g \in G
$$

Here we act on the transformation representation.
Ways to use conjugacy:

- Whenever we find a subsemigroup we take the orbit under conjugation.
- For a non-semigroup subset we can also use the conjugacy class to prune the underlying search tree.
- We start cutting only from conjugacy class representatives.
.... and of course we get the conjugacy classes as well.


## Conjugacy classes of subsemigroups of $\mathcal{T}_{2}$



## "Rescuing elements"

Observation: There is a problem with trying to cut the identity from groups. After the diagonal closure the algorithm reverts back to full enumeration of the subsets of $S \backslash \Delta(K)$.

The "rescue" set of $s$ relative to cut $K$ :

$$
R(K, F(K), s):=\left\{i \in S \backslash K \mid M_{s, i} \in F(K) \text { or } M_{i, s} \in F(K)\right\}
$$

What shall I remove if I want to keep s?

## How to measure complexity/efficiency?

The number of visited cuts - the space complexity.
The number of visited cuts and the number of revisits.

| $\mathcal{S}_{3}$ | \#Cuts | \#Dups |
| :---: | :---: | :---: |
| basic | 63,63 | 103,41 |
| $R$ | 36,36 | 46,25 |
| $\Delta$ | 17,17 | 31,17 |
| $\Delta R$ | 14,14 | 19,13 |


| $\mathcal{T}_{2}$ | \#Cuts | \#Dups |
| :---: | :---: | :---: |
| basic | 13,13 | 11,9 |
| $R$ | 13,13 | 11,9 |
| $\Delta$ | 11,11 | 11,9 |
| $\Delta R$ | 11,11 | 11,9 |


| Sing $_{3}$ | \#Cuts | \#Dups |
| :---: | :---: | :---: |
| basic | $?$ | $?$ |
| $\Delta$ | 88555,88555 | 691298,116767 |
| $R$ | 6782,6782 | 20608,3672 |
| $\Delta R$ | 3764,3764 | 11764,2166 |
| $\mathcal{T}_{3}$ | \#Cuts | \#Dups |
| basic | $?$ | $?$ |
| $\Delta$ | 1505328,1505328 | 15670601,2629323 |
| $R$ | 44291,44291 | 206865,35713 |
| $\Delta R$ | 15664,15664 | 65104,11724 |

## Easy test cases: Cyclic Groups

Cyclic groups - the number of subgroups is the number of divisors.

Cyclic groups of prime order - just 2 subgroups, but there is a bit of surprise. | $n$ | 2 | 3 | 5 | 7 | 11 | 13 | 17 | 19 | 23 | 29 | 31 | 37 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\#$ cuts | 2 | 3 | 3 | 7 | 3 | 3 | 7 | 3 | 7 | 3 | 48 | 3 |

| $n$ | 41 | 43 | 47 | 53 | 59 | 61 | 67 | 71 | 73 | 79 | 83 | 89 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \#cuts | 7 | 9 | 7 | 3 | 3 | 3 | 3 | 7 | 83 | 7 | 3 | 51 |


| $n$ | 97 | 101 | 103 | 107 | 109 | 113 | 127 | 131 | 137 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| \#cuts | 7 | 3 | 7 | 3 | 9 | 11 | 786 | 3 | 7 |

$\mathcal{T}_{3}$ data, the sizes of subsemigroups

| Order | \#occurences |
| ---: | ---: |
| 1 | 3 |
| 2 | 10 |
| 3 | 19 |
| 4 | 28 |
| 5 | 38 |
| 6 | 42 |
| 7 | 38 |
| 8 | 30 |
| 9 | 25 |
| 10 | 14 |
| 11 | 12 |


| Order | \#occurences |
| ---: | ---: |
| 12 | 7 |
| 13 | 3 |
| 14 | 1 |
| 15 | 3 |
| 16 | 2 |
| 17 | 2 |
| 21 | 1 |
| 22 | 1 |
| 23 | 1 |
| 24 | 1 |
| 27 | 1 |

## Summary of Results

| $n$ | 0 | 1 | 2 | 3 | 4 |
| ---: | :---: | :---: | :---: | :---: | :---: |
| $S_{n}$ | - | 1,1 | 2,2 | 6,4 | 30,11 |
| $T_{n}$ | 1,1 | 2,2 | 10,8 | 1299,283 |  |
| $T_{n} \backslash S_{n}$ | 1,1 | 1,1 | 4,3 | 600,123 |  |

A215650, A215651 http://oeis.org

## Progress with $\mathcal{T}_{4}$

$K_{4,2}$
3788251 ( $\approx 3.8$ million) subsemigroups in 162331 in conjugacy classes.
213268743 ( $\approx 213$ million) cuts checked, more than 10GB data, 80323087 ( $\approx 80$ million) revisits.

This data will be used to build the subsemigroup lattice from the bottom.
Also, once we have the subsemigroups of $\mathrm{Sing}_{4}$, we can just them together with the subgroups and see what they generate.

Also, we can start from maximal subgroups.

## Distribution of elements in multiplication tables



| Frequency | \#elements |
| ---: | ---: |
| 1 | 1 |


|  | Frequency | \#elements |
| :--- | ---: | ---: |
| $\mathcal{T}_{2}$ | 2 | 2 |
|  | 6 | 2 |


|  | Frequency | \#elements |
| :---: | ---: | ---: |
| $\mathcal{T}_{3}$ | 6 | 6 |
|  | 24 | 18 |
|  | 87 | 3 |


|  | Frequency | \#elements |
| ---: | ---: | ---: |
|  | 24 | 24 |
| $\mathcal{T}_{4}$ | 120 | 144 |
|  | 408 | 36 |
|  | 504 | 48 |
|  | 2200 | 4 |


| $\mathcal{T}_{5}$ | Frequency | \#elements |
| :---: | :---: | :---: |
|  | 120 | 120 |
|  | 720 | 1200 |
|  | 2820 | 900 |
|  | 3420 | 600 |
|  | 11020 | 200 |
|  | 16720 | 100 |
|  | 84245 |  |
| $\mathcal{T}_{6}$ | 720 | 720 |
|  | 5040 | 10800 |
|  | 22320 | 16200 |
|  | 26640 | 7200 |
|  | 78480 | 1800 |
|  | 95760 | 7200 |
|  | 143280 | 1800 |
|  | 363600 | 300 |
|  | 445680 | 450 |
|  | 795600 | 180 |
|  | 4492656 | 6 |

## Non-synchronising transformation semigroups

|  | \#subsemigroups | \#conjugacy classes |
| :--- | ---: | ---: |
| $\mathcal{T}_{2}$ | 2 | 2 |
| $\mathcal{T}_{3}$ | 64 | 20 |
| $\mathcal{T}_{4}$ | 58610 | 3085 |

## What to expect?

A software tool for finding subsemigroups of any transformation semigroup with less than $\approx 100$ elements.

A database of all transformation semigroups on $n$ points.

- $n \leq 3$ we have the data, included in the GAP package SEmigroups.
- $n=4$ It seems to be within reach with the same heuristics, just a bit more data juggling.
- $n=5$ Probably the same idea may work with more new heuristics and solving big data handling difficulties.
- $n=6$ Not with this idea.


## Thank You!

Transformation (and other type) semigroups software

## Semigroups

http://www-circa.mcs.st-and.ac.uk/~jamesm/citrus.php
Group \& semigroup decomposition software:
SGPDEC http://sgpdec.sf.net
On computational semigroup theory:

> http://compsemi.wordpress.com

