Alex McLeman

alexm@mcs.st-andrews.ac.uk



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Definitions

Alex McLeman Cayley Automaton Semigroups

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Definition

An *automaton* is a triple $\mathcal{A} = (Q, B, \delta)$ where:

- Q is a finite set of *states*
- B is a finite alphabet
- $\delta: Q \times B \rightarrow Q \times B$ is the transition function.

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$$(q) \xrightarrow{x|y} (r)$$

If we are in state q and read symbol x, we move to state r and output y. That is, $\delta(q, x) = (r, y)$.



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If we're in state q_0 and read a sequence $\alpha_1 \alpha_2 \dots \alpha_n$ we output $\beta_1 \beta_2 \dots \beta_n$ where $\delta(q_{i-1}, \alpha_i) = (q_i, \beta_i)$.



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Starting in state q and reading α gives an endomorphism of the |B|-ary rooted tree. Extending this to several states gives a homomorphism $\phi : Q^+ \to End(B^*)$.



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Starting in state q and reading α gives an endomorphism of the |B|-ary rooted tree. Extending this to several states gives a homomorphism $\phi: Q^+ \to End(B^*)$.

We say that $\Sigma(\mathcal{A}) \cong im(\phi)$ is the *automaton semigroup*.

C(S) is the automaton arising from the Cayley Table of S. Each element $s \in S$ gives a state \overline{s} . Transitions are defined by right-multiplication in S: reading symbol t in state \overline{s} moves us to state \overline{st} and outputs symbol st.

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$$(s) \xrightarrow{t|st} (st)$$

More formally:

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More formally:

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 $\Sigma(\mathcal{C}(S))$ is the Cayley Automaton Semigroup.

Alex McLeman Cayley Automaton Semigroups

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Let
$$x \in S, \alpha \in S^*, \overline{q_i} \in \overline{S}$$
. Then
 $\overline{q} \cdot (x\alpha) = (qx)(\overline{qx} \cdot \alpha), (\overline{q_1} \cdot \overline{q_2}) \cdot \alpha = \overline{q_1} \cdot (\overline{q_2} \cdot \alpha).$

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For $\alpha = \alpha_1 \alpha_2 \dots \alpha_n$ we have

$$\overline{q} \cdot \alpha = (q\alpha_1)(\overline{q\alpha_1} \cdot \alpha_2 \dots \alpha_n)$$

= $(q\alpha_1)(q\alpha_1\alpha_2)(\overline{q\alpha_1\alpha_2} \cdot \alpha_3 \dots \alpha_2)$
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= $(q\alpha_1)(q\alpha_1\alpha_2)\dots(q\alpha_1\dots\alpha_n)$

So we can think of \overline{q} as a function $\overline{q}: \alpha_1 \alpha_2 \dots \alpha_n \mapsto (q \alpha_1)(q \alpha_1 \alpha_2) \dots (q \alpha_1 \dots \alpha_n).$

Some properties

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- (Mintz 2009) Let S be finite. The following are equivalent:
 - S is aperiodic
 - $\Sigma(\mathcal{C}(S))$ is finite
 - $\Sigma(\mathcal{C}(S))$ is aperiodic

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- (Silva and Steinberg 2005) Let G be a non-trivial finite group. Then $\Sigma(\mathcal{C}(G)) \cong F_{|G|}$
- (Mintz 2009) Let T ≤ S. The Σ(C(T)) divides Σ(C(S)). If T is a non-trivial group then Σ(C(T)) ≤ Σ(C(S)).

Zeros

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 $\overline{z} \cdot \alpha = (z\alpha_1)(z\alpha_1\alpha_2)\dots(z\alpha_1\dots\alpha_n) = (z)^n.$

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Zeros

Let $z \in S$ be a left-zero. The \overline{z} is a left-zero in $\Sigma(\mathcal{C}(S))$.

 $\overline{z} \cdot \alpha = (z\alpha_1)(z\alpha_1\alpha_2)\dots(z\alpha_1\dots\alpha_n) = (z)^n$. Let $a \in S$. Then $\overline{a} \cdot \alpha = \beta_1\beta_2\dots\beta_n$.

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$$\overline{z} \cdot \alpha = (z\alpha_1)(z\alpha_1\alpha_2)\dots(z\alpha_1\dots\alpha_n) = (z)^n$$
. Let $a \in S$. Then
 $\overline{a} \cdot \alpha = \beta_1\beta_2\dots\beta_n$. So $\overline{z} \cdot \overline{a} \cdot \alpha = \overline{z} \cdot \beta_1\beta_2\dots\beta_n = (z)^n$.

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Consequently, $\Sigma(\mathcal{C}(L_n)) \cong L_n$ after noting $\overline{y} \cdot \alpha = (y)^n \neq (z)^n = \overline{z} \cdot \alpha$.

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Consequently, $\Sigma(\mathcal{C}(L_n)) \cong L_n$ after noting $\overline{y} \cdot \alpha = (y)^n \neq (z)^n = \overline{z} \cdot \alpha$.

Let $0 \in S$ be the zero element. Then $\overline{0}$ is the zero element in $\Sigma(\mathcal{C}(S))$.

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Let $0 \in S$ be the zero element. Then $\overline{0}$ is the zero element in $\Sigma(\mathcal{C}(S))$.

Let $z \in S$ be a right zero. Then \overline{z} is a right-zero in $\Sigma(\mathcal{C}(S))$.

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. Let $a \in S$. Then
 $\overline{a} \cdot \alpha = \beta_1\beta_2\dots\beta_n$. So $\overline{z} \cdot \overline{a} \cdot \alpha = \overline{z} \cdot \beta_1\beta_2\dots\beta_n = (z)^n$.

Consequently, $\Sigma(\mathcal{C}(L_n)) \cong L_n$ after noting $\overline{y} \cdot \alpha = (y)^n \neq (z)^n = \overline{z} \cdot \alpha$.

Let $0 \in S$ be the zero element. Then $\overline{0}$ is the zero element in $\Sigma(\mathcal{C}(S))$.

Let $z \in S$ be a right zero. Then \overline{z} is a right-zero in $\Sigma(\mathcal{C}(S))$.

Consider R_n . Then $\overline{x} \cdot \alpha = (x\alpha_1)(x\alpha_1\alpha_2)\dots(x\alpha_1\dots\alpha_n) = \alpha_1\alpha_2\dots\alpha_n$ and $\overline{y} \cdot \alpha = \alpha_1\alpha_2\dots\alpha_n$. So $\overline{x} = \overline{y}$ but $x \neq y$.

When does $\overline{x} = \overline{y}$?

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Let $x \neq y \in S$. Then $\overline{x} = \overline{y} \in \Sigma(\mathcal{C}(S))$ if and only if xa = ya for all $a \in S$.

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Proof.

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Proof.

(⇒) Let $a\alpha \in S^*$. Then $\overline{x} \cdot a\alpha = (xa)(\overline{xa} \cdot \alpha)$ and $\overline{y} \cdot a\alpha = (ya)(\overline{ya} \cdot \alpha)$. The first symbols of the outputs must be equal and so xa = ya for all $a \in S$.

Let $x \neq y \in S$. Then $\overline{x} = \overline{y} \in \Sigma(\mathcal{C}(S))$ if and only if xa = ya for all $a \in S$.

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Nilpotent Semigroups

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A semigroup S is *nilpotent of class n* if there exists n such that $S^n = \{0\}$ and $S^{n-1} \neq \{0\}$. Note that such a semigroup must necessarily contain a zero element. By definition a semigroup is nilpotent of class 1 if and only if it is trivial.

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Lemma (Cain 2009)

Let S be finite and nilpotent of class n. Then $\Sigma(\mathcal{C}(S))$ is finite and nilpotent of class n - 1.

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Proof.

We have $\overline{w_1} \cdot \overline{w_2} \cdot \ldots \cdot \overline{w_{n-1}} \cdot \alpha = (w_1 w_2 \ldots w_{n-1} \alpha_1) \ldots = 0^{\omega}$ since S is nilpotent of class n. Hence $\Sigma(\mathcal{C}(S))$ is nilpotent of class at most n-1.

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Proof.

We have $\overline{w_1} \cdot \overline{w_2} \cdot \ldots \cdot \overline{w_{n-1}} \cdot \alpha = (w_1 w_2 \ldots w_{n-1} \alpha_1) \ldots = 0^{\omega}$ since S is nilpotent of class n. Hence $\Sigma(\mathcal{C}(S))$ is nilpotent of class at most n-1. Now let w_1, \ldots, w_{n-1} be such that $w_1 w_2 \ldots w_{n-1} \neq 0$. Then $\overline{w_1} \cdot \ldots \cdot \overline{w_{n-2}} \cdot w_{n-1} = (w_1 w_2 \ldots w_{n-2} w_{n-1}) \neq 0^{\omega}$. Hence $\overline{w_1} \cdot \ldots \cdot \overline{w_{n-2}} \neq \overline{0}$. So $\Sigma(\mathcal{C}(S))$ is nilpotent of class n-1.

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Other known classes of Semigroups

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Lemma (M 2012)

Let S be cancellative (and not necessarily finite). Then $\Sigma(\mathcal{C}(S))$ is free of rank equal to the order of S.

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Lemma (Maltcev 2008)

Let S be finite. Then $\Sigma(\mathcal{C}(S))$ is free if and only if the minimal ideal K of S consists of a single \mathcal{R} -class in which every \mathcal{H} -class is non-trivial and there exists k such that st = skt for all $s, t \in S$.

Self-Automaton Semigroups

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- Zero-unions of left-zero semigroups
- $L_n \cup B$ where L_n acts trivially on the band B
- If S is regular and self-automaton then it is a band

Theorem

Let B be a band. Then the map $b \mapsto \overline{b}$ is a homomorphism.

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We can classify which bands are self-automaton.

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Theorem (M 2012)

Let B be a band. Then $B \cong \Sigma(C(B))$ under the map $b \mapsto \overline{b}$ if and only if the left-regular representation of B is faithful.

So are all self-automaton semigroups bands?

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The semigroup defined by the following Cayley Table is not a band but is self-automaton:

| | а | b | С | d |
|--------|--------|---|---|---|
| a b | b | b | b | С |
| b | b b | b | b | b |
| С | | С | С | С |
| d | d | d | d | d |

What if the states acted on the right of a sequence rather than the left? This is the approach taken by Cain.

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$$\alpha \cdot \overline{x} = (x\alpha_1)(x\alpha_1\alpha_2)(x\alpha_1\alpha_2\alpha_3)\dots$$
$$\alpha \cdot (\overline{x_1} \cdot \overline{x_2}) = (\alpha \cdot \overline{x_1}) \cdot \overline{x_2}.$$

What if the states acted on the right of a sequence rather than the left? This is the approach taken by Cain.

$$\alpha \cdot \overline{x} = (x\alpha_1)(x\alpha_1\alpha_2)(x\alpha_1\alpha_2\alpha_3)..$$
$$\alpha \cdot (\overline{x_1} \cdot \overline{x_2}) = (\alpha \cdot \overline{x_1}) \cdot \overline{x_2}.$$

Denote the semigroup generated by the states with this right action by $\Pi(\mathcal{C}(S))$.

Cain conjectures the following:

Conjecture

 $S \cong \Pi(\mathcal{C}(S))$ if and only if S is a band in which every \mathcal{D} -class is square and every maximal \mathcal{D} -class is a singleton.

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How does this right action construction relate to the previously defined left actions?

Theorem

 $S \cong \Pi(\mathcal{C}(S))$ if and only if S is self-dual and $S \cong \Sigma(\mathcal{C}(S))$.

To tackle Cain's conjecture we should look at self-dual self-automaton semigroups.

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Theorem (M 2013)

Let S be self-dual and self-automaton. If $S^2 = S$ then S is a band.

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Theorem (M 2013)

Let S be self-dual and self-automaton. If $S^2 = S$ then S is a band.

A complete classification of self-automaton semigroups (both self-dual and otherwise) remains an open question.

Thanks for listening!

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