

Topological methods in model theory

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Set-up

M is a model,

$$M = (\mathbb{R}, +, \cdot, <, \dots)$$

$$M = (\mathbb{Z}, +)$$

$T = Th(M)$ in language $L = L(M)$

$U \subseteq M$ is **definable** if U is a solution set of an equation (with parameters from M) or more generally a formula $\varphi(x)$ with quantifiers.

$$\varphi(x) = \exists y \ x \cdot y = 1$$

$$U = \varphi(M).$$

$Def(M) = \{\text{definable subsets of } M\}$ this is a Boolean algebra.

Assume $M \prec N$ and $U = \varphi(M) \in Def(M)$.

Let $U^N = \varphi(N)$. So $U^N \in Def(N)$.

Let $a \in N$.

$$\begin{aligned} tp(a/M) &= \{U \in Def(M) : a \in U^N\} \\ &= \{\varphi(x) \in L(M) : a \in \varphi(N)\} \end{aligned}$$

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Let $S(M) = S(Def(M))$ be the Stone space of ultrafilters in $Def(M)$.

$S(M)$ is called the space of complete types over M .

$tp(a/M) \in S(M)$.

Every $\mathcal{U} \in S(M)$ equals $tp(a/M)$ for some $N \succ M$ and $a \in N$.

$S(M)$ is a compact topological space:

$U \in Def(M) \rightsquigarrow [U] = \{p \in S(M) : U \in p\}$ a basic clopen set in $S(M)$.

More generally, a **type** over M is a filter in $Def(M)$.

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Types and automorphisms

Let $\mathcal{C} \succ M$ be large, saturated (a monster model).

For a small $A \subseteq \mathcal{C}$ let $Aut(\mathcal{C}/A) = \{f \in Aut(\mathcal{C}) : f|_A = id_A\}$.

$Aut(\mathcal{C}/A)$ acts on:

- \mathcal{C}
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The orbits of this action = sets of the form $p(\mathcal{C})$, $p \in S(A)$.

$Def_A(\mathcal{C}) \subseteq Def(\mathcal{C})$ subalgebra

$r : S(\mathcal{C}) \rightarrow S(A)$ restriction function

$S(\mathcal{C}) \ni p \mapsto r(p) = p \cap Def_A(\mathcal{C})$

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- count types (stability hierarchy
stable theories, models = theories, models with few types)
- measure types and definable sets (with various ranks):

$S(A)$ is a compact topological space.

The Cantor-Bendixson rank on $S(A)$, $S(M)$ (coming from CB-derivative) is called the Morley rank:

$$RM : S(M) \rightarrow \text{Ord} \cup \{\infty\}$$

- the main tool in Morley categoricity theorem (1964)
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 q is a **large** extension of p if $RM(q) = RM(p)$.

This leads to:

- the notion of **non-forking** extension of a type (Shelah).
- forking independence
- geometric stability theory (Zilber, Hrushovski, Pillay, ...)

The definition of forking given in combinatorial terms.

Works well for stable theories.

Extensions of the method to some unstable theories:

- theories with NIP (including o-minimality, \mathbb{R})
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Fact

Assume T is stable, $A \subset \mathcal{C}$, $p \in S(A)$, $q \in S(\mathcal{C})$ and $p \subseteq q$. Then TFAE:

1. q is a non-forking extension of p .
2. The orbit of q under $Aut(\mathcal{C}/A)$ has bounded size (actually, $\leq 2^{|T|+|A|}$).

Assume T is unstable.

- 1. and 2. are no longer equivalent.
- Instead of considering 1. we may consider 2.

Idea

q is a large type extending p iff
the orbit of q under $Aut(\mathcal{C}/A)$ is small.

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Definition

(1) X is a **G -flow** if

- X is a compact topological space
- G acts on X by homeomorphisms

(2) $Y \subseteq X$ is a **G -subflow** of X if Y is closed and G -closed.

Example

Let X be a G -flow and $p \in X$. Then $cl(Gp)$ is a subflow of X generated by p .

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Definition continued

Assume X is a G -flow and $p \in X$.

(3) p is **periodic** if the orbit Gp is finite.

(4) p is **almost periodic** if $cl(Gp)$ is a minimal subflow of X .

(5) $U \subseteq X$ is **generic** if $(\exists A \subseteq_{fin} G)AU = X$.

(6) $U \subseteq X$ is **weakly generic** if $(\exists V \subseteq X)U \cup V$ is generic and V is non-generic.

(7) p is **[weakly] generic** if every open $U \ni p$ is.

Assume X is a G -flow.

$WGen(X) = \{p \in X : p \text{ is weakly generic}\}$

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Assume X is a G -flow.

$WGen(X) = \{p \in X : p \text{ is weakly generic}\}$

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$APer(X) = \{p \in X : p \text{ is almost periodic}\}$

Definition continued

Assume X is a G -flow and $p \in X$.

(3) p is **periodic** if the orbit Gp is finite.

(4) p is **almost periodic** if $cl(Gp)$ is a minimal subflow of X .

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- $APer(X) = \bigcup \{\text{minimal subflows of } X\}$
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Let X be a G -flow.

$$G \ni g \rightsquigarrow \pi_g : X \xrightarrow{\approx} X, \pi_g(x) = g \cdot x,$$

$$E(X) = cl(\{\pi_g : g \in G\}) \subseteq X^X$$

- cl is the topological closure w.r. to pointwise convergence topology in X^X
- $E(X)$ is the Ellis (enveloping) semigroup of X
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 1. for $f \in E(X)$ and $g \in G$, $g * f = \pi_g \circ f$
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Definition

1. $I \subseteq E(X)$ is an **ideal** if $I \neq \emptyset$ and $fl \subseteq I$ for every $f \in E(X)$.
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Properties of $E(X)$

- Minimal subflows of $E(X)$ = minimal ideals in $E(X)$.
- Let $I \subseteq E(X)$ be a minimal ideal and $j \in I$ be an idempotent. Then $jI \subseteq I$ is a group (with identity j), called an ideal subgroup of $E(X)$ and I is a union of its ideal subgroups.
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Let $A \subseteq \mathcal{C}$, $p \in S(A)$, $S_p(\mathcal{C}) = \{q \in S(\mathcal{C}) : p \subseteq q\}$.

$S_p(\mathcal{C})$ is a closed subspace of $S(\mathcal{C})$.

$G := \text{Aut}(\mathcal{C}/A)$ acts on $S(\mathcal{C})$ by homeomorphisms.

- $S(\mathcal{C})$ is a G -flow.
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Almost periodic/[weakly] generic types $q \in S_p(\mathcal{C})$ good candidates for "large" extensions of p .

Specialized notions

- $U \in \text{Def}(\mathcal{C})$ is p -generic if $p(\mathcal{C})$ is covered by finitely many A -conjugates of U .
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Group and semi-group connection

Assume $G \subseteq M$ is a definable group. Let $Def_G(M) = \{\text{definable subsets of } G\}$.

- $Def_G(M)$ is a Boolean algebra of sets, closed under left translation in G .
- $S_G(M) = S(Def_G(M))$ is the space of G -types over M .
- G acts on $S_G(M)$ by left translation.
- $S_G(M)$ is a G -flow.

The Ellis semigroup $E(S_G(M))$ has nice model-theoretic properties. The ideal subgroups of $E(S_G(M))$ are closely related to some model-theoretic connected components of G . Questions on the model-theoretic absoluteness of the topological-dynamic notions in model theory.

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Assume $G \subseteq M$ is a definable group. Let $Def_G(M) = \{\text{definable subsets of } G\}$.

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