

Rosenthal compact spaces

Antonio Avilés

Universidad de Murcia, author supported by MINECO and FEDER (MTM2014-54182-P) and by Fundación Séneca - Región de Murcia (19275/PI/14).

Fruska Gora 2016

Theorem

For compact K , TFAE

Theorem

For compact K , TFAE

- 1 K is metrizable.

Theorem

For compact K , TFAE

- 1 *K is metrizable.*
- 2 *K has a countable basis of open sets.*

Theorem

For compact K , TFAE

- 1 K is metrizable.
- 2 K has a countable basis of open sets.
- 3 There is a countable family of open sets that disjointly separates the points of K .

Theorem

For compact K , TFAE

- 1 K is metrizable.
- 2 K has a countable basis of open sets.
- 3 There is a countable family of open sets that disjointly separates the points of K .
- 4 $K \subset C(X)$ for some Polish X .

$$C(X) = \{f : X \rightarrow \mathbb{R} \text{ continuous} \}$$

Rosenthal compact spaces

X is a Polish space.

Rosenthal compact spaces

X is a Polish space.

$f : X \rightarrow \mathbb{R}$ is Baire-1 if $f(x) = \lim f_n(x)$ with f_n continuous.

Rosenthal compact spaces

X is a Polish space.

$f : X \rightarrow \mathbb{R}$ is Baire-1 if $f(x) = \lim f_n(x)$ with f_n continuous.

Definition

A compact space K is Rosenthal if $K \subset Baire_1(X)$ for some Polish X .

Rosenthal compact spaces

X is a Polish space.

$f : X \rightarrow \mathbb{R}$ is Baire-1 if $f(x) = \lim f_n(x)$ with f_n continuous.

Definition

A compact space K is Rosenthal if $K \subset Baire_1(X)$ for some Polish X .

For the working mathematician:

Rosenthal compact spaces

X is a Polish space.

$f : X \rightarrow \mathbb{R}$ is Baire-1 if $f(x) = \lim f_n(x)$ with f_n continuous.

Definition

A compact space K is Rosenthal if $K \subset \text{Baire}_1(X)$ for some Polish X .

For the working mathematician:

If you construct a compact space without using any strange set-theoretic device (like AC), then most probably you got a Rosenthal compactum.

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

- 1 $K \subset \text{Baire}_1(X)$

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

- 1 $K \subset \text{Baire}_1(X)$
- 2 $K \subset \text{Borel}(X)$

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

- 1 $K \subset \text{Baire}_1(X)$
- 2 $K \subset \text{Borel}(X)$
- 3 $K \subset \text{Borel}(X)$ and $K = \overline{\{f_n\}}$ with $f_n \in C(X)$.

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

- 1 $K \subset \text{Baire}_1(X)$
- 2 $K \subset \text{Borel}(X)$
- 3 $K \subset \text{Borel}(X)$ and $K = \overline{\{f_n\}}$ with $f_n \in C(X)$.
- 4 $K = \overline{\{f_n\}}$ with $f_n \in C(X)$, and $\beta\omega \notin K$.

The Bourgain-Fremlin-Talagrand theorem

Theorem (Bourgain-Fremlin-Talagrand)

For separable compact K , TFAE:

- 1 $K \subset \text{Baire}_1(X)$
- 2 $K \subset \text{Borel}(X)$
- 3 $K \subset \text{Borel}(X)$ and $K = \overline{\{f_n\}}$ with $f_n \in C(X)$.
- 4 $K = \overline{\{f_n\}}$ with $f_n \in C(X)$, and $\beta\omega \notin K$.

+ every Rosenthal K is Fréchet-Urysohn space: every point in the closure of a set A is the limit of sequence from A .

Three Rosenthal compacta

Theorem (Todorcevic)

If K is a nonmetrizable separable Rosenthal compactum, then

- 1 either $K \supset A(\mathfrak{c})$
- 2 or $K \supset S$
- 3 or $K \supset D$

- $A(\mathfrak{c})$ is the one-point compactification of the discrete set of size \mathfrak{c} .
- S is the split Cantor set: $2^{\omega+1}$ ordered lexicographically, with the order topology.
- D is the Alexandroff duplicate of the Cantor set.

Three Rosenthal compacta

Theorem (Todorcevic)

If K is a nonmetrizable separable Rosenthal compactum, then

- 1 either $K \supset A(\mathfrak{c})$
- 2 or $K \supset S$
- 3 or $K \supset D$

- $A(\mathfrak{c})$ is the one-point compactification of the discrete set of size \mathfrak{c} .
- S is the split Cantor set: $2^{\omega+1}$ ordered lexicographically, with the order topology.
- D is the Alexandroff duplicate of the Cantor set.

Our aim: Multidimensional versions of this result.

Finite-to-one preimages of metric spaces

$\varphi : K \longrightarrow L$ continuous.

Finite-to-one preimages of metric spaces

$\varphi : K \rightarrow L$ continuous.

$$D_n(\varphi) = K \cup L \times \{1, \dots, n-1\}$$

Finite-to-one preimages of metric spaces

$\varphi : K \longrightarrow L$ continuous.

$$D_n(\varphi) = K \cup L \times \{1, \dots, n-1\}$$

$$D_n = D_n(2^\omega \longrightarrow 2^\omega)$$

$$S_n = D_{n-1}(S \longrightarrow 2^\omega)$$

Finite-to-one preimages of metric spaces

$\varphi : K \longrightarrow L$ continuous.

$$D_n(\varphi) = K \cup L \times \{1, \dots, n-1\}$$

$$D_n = D_n(2^\omega \longrightarrow 2^\omega)$$

$$S_n = D_{n-1}(S \longrightarrow 2^\omega)$$

Theorem (A. - Poveda - Todorcevic)

If K is an at most n -to-1 continuous preimage of a metric space,

Finite-to-one preimages of metric spaces

$\varphi : K \longrightarrow L$ continuous.

$$D_n(\varphi) = K \cup L \times \{1, \dots, n-1\}$$

$$D_n = D_n(2^\omega \longrightarrow 2^\omega)$$

$$S_n = D_{n-1}(S \longrightarrow 2^\omega)$$

Theorem (A. - Poveda - Todorcevic)

If K is an at most n -to-1 continuous preimage of a metric space, but not $(n-1)$ -to-1, then:

Finite-to-one preimages of metric spaces

$\varphi : K \longrightarrow L$ continuous.

$$D_n(\varphi) = K \cup L \times \{1, \dots, n-1\}$$

$$D_n = D_n(2^\omega \longrightarrow 2^\omega)$$

$$S_n = D_{n-1}(S \longrightarrow 2^\omega)$$

Theorem (A. - Poveda - Todorcevic)

If K is an at most n -to-1 continuous preimage of a metric space, but not $(n-1)$ -to-1, then:

- 1 either $K \supset S_n$
- 2 or $K \supset D_n$

Theorem (A. - Poveda - Todorcevic)

If K is an n -to-1 continuous preimage of a metric space, but not an $(n-1)$ -to-1 preimage, then:

- 1 either $K \supset S_n$
- 2 or $K \supset D_n$

Handicaps:

Theorem (A. - Poveda - Todorcevic)

If K is an n -to-1 continuous preimage of a metric space, but not an $(n-1)$ -to-1 preimage, then:

- 1 either $K \supset S_n$
- 2 or $K \supset D_n$

Handicaps:

- It talks only about finite-to-one preimages of metric spaces

Theorem (A. - Poveda - Todorcevic)

If K is an n -to-1 continuous preimage of a metric space, but not an $(n-1)$ -to-1 preimage, then:

- 1 either $K \supset S_n$
- 2 or $K \supset D_n$

Handicaps:

- It talks only about finite-to-one preimages of metric spaces
- S_n and D_n are not separable (except S_2). So this is not a *basis result*.

Definition

A compact space K has open degree $\leq n$ iff there exists a countable family \mathcal{F} of open sets such that for every different $x_0, \dots, x_n \in K$ there exist respective neighborhoods $V_0, \dots, V_n \in \mathcal{F}$ such that $V_0 \cap \dots \cap V_n = \emptyset$.

Definition

A compact space K has open degree $\leq n$ iff there exists a countable family \mathcal{F} of open sets such that for every different $x_0, \dots, x_n \in K$ there exist respective neighborhoods $V_0, \dots, V_n \in \mathcal{F}$ such that $V_0 \cap \dots \cap V_n = \emptyset$.

- $odeg(K) \leq 1$ if and only if K is metrizable.

Definition

A compact space K has open degree $\leq n$ iff there exists a countable family \mathcal{F} of open sets such that for every different $x_0, \dots, x_n \in K$ there exist respective neighborhoods $V_0, \dots, V_n \in \mathcal{F}$ such that $V_0 \cap \dots \cap V_n = \emptyset$.

- $odeg(K) \leq 1$ if and only if K is metrizable.
- If the open sets in \mathcal{F} are G_δ , then this means that K is an at most n -to-1 preimage of a metric space.

Theorem (A.-Todorcevic)

Given $n < \omega$, there is a finite list

$$K_1^{(n)}, \dots, K_{p_n}^{(n)}$$

of separable Rosenthal compacta of open degree n , such that every separable Rosenthal K with $\text{odeg}(K) \geq n$ contains one from the list.

Theorem (A.-Todorcevic)

Given $n < \omega$, there is a finite list

$$K_1^{(n)}, \dots, K_{p_n}^{(n)}$$

of separable Rosenthal compacta of open degree n , such that every separable Rosenthal K with $\text{odeg}(K) \geq n$ contains one from the list.

$$p_1 = 1, p_2 = 3, p_3 = 4, p_4 = 8, \dots$$

How minimal spaces look like

Each of these minimal spaces has the following components:

How minimal spaces look like

Each of these minimal spaces has the following components:

- 1 A countable dense set of isolated points, identified with the m -adic tree $m^{<\omega}$.

How minimal spaces look like

Each of these minimal spaces has the following components:

- 1 A countable dense set of isolated points, identified with the m -adic tree $m^{<\omega}$.
- 2 A finite number of copies of m^ω


How minimal spaces look like

Each of these minimal spaces has the following components:

- 1 A countable dense set of isolated points, identified with the m -adic tree $m^{<\omega}$.
- 2 A finite number of copies of m^ω
- 3 Only in some cases, an infinity point ∞ .

The game (simplified version)

Given n , K and a fix countable dense set D , two players play

${}^1\Sigma_1^1$ -determinacy in this game. Just Borel determinacy with a technical twist. 

The game (simplified version)


Given n , K and a fix countable dense set D , two players play

- Player I plays elements from D

The game (simplified version)

Given n , K and a fix countable dense set D , two players play

- Player I plays elements from D
- Player II plays numbers in $\{0, 1, \dots, n-1\}$

¹ Σ_1^1 -determinacy in this game. Just Borel determinacy with a technical twist. 

The game (simplified version)

Given n , K and a fix countable dense set D , two players play

- Player I plays elements from D
- Player II plays numbers in $\{0, 1, \dots, n-1\}$

Player I	d_0	d_1	d_2	\dots
Player II	i_0	i_1	i_2	\dots


The game (simplified version)

Given n , K and a fix countable dense set D , two players play

- Player I plays elements from D
- Player II plays numbers in $\{0, 1, \dots, n-1\}$

Player I	d_0	d_1	d_2	\dots
Player II	i_0	i_1	i_2	\dots

Player I wins if the sets $\overline{\{d_k : i_k = i\}}$ are pairwise disjoint.

¹ Σ_1^1 -determinacy in this game. Just Borel determinacy with a technical twist. 

The game (simplified version)


Given n , K and a fix countable dense set D , two players play

- Player I plays elements from D
- Player II plays numbers in $\{0, 1, \dots, n-1\}$

Player I	d_0	d_1	d_2	\dots
Player II	i_0	i_1	i_2	\dots

Player I wins if the sets $\overline{\{d_k : i_k = i\}}$ are pairwise disjoint.

If K is Rosenthal, we can use determinacy¹.

¹ Σ_1^1 -determinacy in this game. Just Borel determinacy with a technical twist. 

The game(simplified version)

The winning strategy of Player II means that $odeg(K) < n$.

The winning strategy of Player I produces a tree structure in K , that we must reduce to a canonical form.

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Other facts

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Other facts

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

Other facts

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

- 1 If \mathcal{C} is a class of Rosenthal compacta, find its minimal elements, if they exist.

Other facts

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

- 1 If \mathcal{C} is a class of Rosenthal compacta, find its minimal elements, if they exist.
- 2 Identify the orthogonal class of a set of minimal compacta.

Other facts

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

- 1 If \mathcal{C} is a class of Rosenthal compacta, find its minimal elements, if they exist.
- 2 Identify the orthogonal class of a set of minimal compacta.
 - $\{2^\omega, S\}^\perp = \text{scattered}$

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

- 1 If \mathcal{C} is a class of Rosenthal compacta, find its minimal elements, if they exist.
- 2 Identify the orthogonal class of a set of minimal compacta.
 - $\{2^\omega, S\}^\perp =$ scattered
 - $\{D, A(c)\}^\perp =$ hereditarily separable

Theorem (A.-Todorcevic)

If K is separable Rosenthal and not scattered, then K contains either 2^ω or S .

Theorem (A.-Todorcevic)

If a Rosenthal compact space K maps onto S , then it contains a copy of S .

Problems:

- 1 If \mathcal{C} is a class of Rosenthal compacta, find its minimal elements, if they exist.
- 2 Identify the orthogonal class of a set of minimal compacta.
 - $\{2^\omega, S\}^\perp =$ scattered
 - $\{D, A(c)\}^\perp =$ hereditarily separable
 - $\{S\}^\perp = ?$