

A fragment of Asperó-Mota's Finitely Proper Forcing Axiom
and an entangled set of reals

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Theorem

The assertion (B) means that any two \aleph_1 -dense sets of reals are order-isomorphic.

(Baumgartner) (B) is consistent.

(Todorčević) PFA implies (B).

(Abraham-Shelah) It is consistent that MA_{\aleph_1} holds and (B) fails.

Theorem

The assertion (T) means that every Countryman line contains an isomorphic copy of Todorčević's Countryman line $C(\rho_0)$ or its reverse.

(Todorčević) PFA implies (T), in particular, MA_{\aleph_1} combining with the assertion that any two Aronszajn trees are club-isomorphic implies (T).

(Peng) It is consistent that MA_{\aleph_1} holds and (T) fails.

Theorem (Asperó-Mota)

Define $\text{PFA}^{\text{fin}}(\aleph_1)$ which satisfies that

- $\text{PFA} \Rightarrow \text{PFA}^{\text{fin}}(\aleph_1) \Rightarrow \text{MA}_{\aleph_1}$, and converse implications may fail,
- it is consistent that $\text{PFA}^{\text{fin}}(\aleph_1)$ (in particular \cup) holds and $2^{\aleph_0} > \aleph_2$.

(Todorčević) PFA implies (B).

Question. Does $\text{PFA}^{\text{fin}}(\aleph_1)$ imply (B)?

(Abraham-Shelah) It is consistent that MA_{\aleph_1} holds and (B) fails.

(Todorčević) PFA implies (T).

Proposition. $\text{PFA}^{\text{fin}}(\aleph_1)$ implies (T).

(Peng) It is consistent that MA_{\aleph_1} holds and (T) fails.

(B) Any two \aleph_1 -dense sets of reals are order-isomorphic.

(T) Every Countryman line contains an isomorphic copy of Todorčević's Countryman line $C(\rho_0)$ or its reverse.

Review 1: (Abraham-Shelah). Con(MA_{\aleph_1} & $\neg(B)$).

Definition (Abraham-Shelah)

Let $k \in \mathbb{N}$, $k \geq 2$. An uncountable set E of reals is called k -entangled if, for any pairwise disjoint $\{\sigma_\xi : \xi \in \omega_1\} \subseteq [E]^k$ and any $d \in {}^k\{0, 1\}$, there is $\{\xi, \eta\} \in [\omega_1]^2$ such that $\sigma_\xi \not\perp_d \sigma_\eta$: Either $\forall i < k (d(i) = 0 \leftrightarrow (i\text{-th element of } \sigma_\xi) < (i\text{-th element of } \sigma_\eta))$ or $\forall i < k (d(i) = 0 \leftrightarrow (i\text{-th element of } \sigma_\eta) < (i\text{-th element of } \sigma_\xi))$.

Proposition

A k -entangled set of reals gives a counterexample of (B).

Lemma (Abraham-Shelah)

For a ccc forcing notion \mathbb{P} , if \mathbb{P} destroys a k -entangledness of E , then there exists a ccc forcing notion $\mathcal{A}(\mathbb{P})$ that adds an uncountable antichain of \mathbb{P} and forces that E is still k -entangled.

Theorem (Abraham-Shelah)

For each integer $k \geq 2$, it is consistent that MA_{\aleph_1} holds and there exists a k -entangled set of reals.

Lemma

For a forcing notion \mathbb{P} , if \mathbb{P} destroys a k -entangledness of E , then there exists a proper forcing notion $\mathcal{A}(\mathbb{P})$ which forces that \mathbb{P} collapses ω_1 and E is still k -entangled.

Proof. Let P be a forcing notion such that $\not\Vdash_P$ “ E is k -entangled”. Then there are $p \in P$, $d \in {}^k\{0, 1\}$ and a P -name \dot{i} such that

$p \Vdash_P$ “ $\dot{i} \subseteq [\omega_1]^k$ is a pairwise disjoint uncountable \perp_d -homogeneous set”.

Define

$$S(P, p, d, \dot{i}) = S(P) := \left\{ \langle q, \Sigma, n \rangle \in P \times \left[[\omega_1]^k \right]^{k+1} \times \omega : q \leq_P p \ \& \ q \Vdash_P \text{“} \Sigma \subseteq \dot{i} \text{”} \right\}.$$

Review 1: (Abraham-Shelah). Con(MA_{\aleph_1} & $\neg(B)$).

- $p \Vdash_P "i \subseteq [\omega_1]^k \text{ is a pairwise disjoint uncountable } \perp_d\text{-homogeneous set}"$,
- $S(P, p, d, i) = S(P) := \{ \langle q, \Sigma, n \rangle \in P \times [[\omega_1]^k]^{k+1} \times \omega : q \leq_P p \ \& \ q \Vdash_P " \Sigma \subseteq i " \}$.

$\mathcal{A}(P, p, d, i) = \mathcal{A}(P)$ that consists of the pairs $\langle \mathcal{N}, W \rangle$ such that

- \mathcal{N} is a finite \in -chain of countable elementary submodels of H_λ which contains the set $\{E, P, p, d, i\}$,
- $W \in [S(P)]^{<\aleph_0}$, and, for each $x \in W$, write $x = \langle q^x, \Sigma^x, n^x \rangle$,
- for each $x \in W$, Σ^x is separated by \mathcal{N} ,
- the set $\{\Sigma^x : x \in W\}$ is also separated by \mathcal{N} , and
- for any $\{x, y\} \in [W]^2$, if $\Sigma^x \cup \Sigma^y$ is \perp_d -homogeneous, then $n^x \neq n^y$,

$$\langle \mathcal{N}, W \rangle \leq_{\mathcal{A}(P, p, d, i)} \langle \mathcal{N}', W' \rangle : \iff \mathcal{N} \supseteq \mathcal{N}' \ \& \ W \supseteq W'.$$

Note that $\mathcal{A}(P)$ is proper and preserves E to be k -entangled.

Review 1: (Abraham-Shelah). Con(MA_{\aleph_1} & $\neg(B)$).

- $p \Vdash_P " \dot{I} \subseteq [\omega_1]^k \text{ is a pairwise disjoint uncountable } \perp_d\text{-homogeneous set} "$,
- $S(P, p, d, \dot{I}) := \{ \langle q, \Sigma, n \rangle \in P \times [[\omega_1]^k]^{k+1} \times \omega : q \leq_P p \ \& \ q \Vdash_P " \Sigma \subseteq \dot{I} " \}$,
- For each $\langle \mathcal{N}, W \rangle \in \mathcal{A}(P, p, d, \dot{I}) = \mathcal{A}(P)$ and $x = \langle q^x, \Sigma^x, n^x \rangle, y = \langle q^y, \Sigma^y, n^y \rangle$ in W , if $\Sigma^x \cup \Sigma^y$ is \perp_d -homogeneous, then $n^x \neq n^y$.

For $x, y \in S(P, p, d, \dot{I})$, if $q^x \not\leq_P q^y$, then $n^x \neq n^y$ holds, because for $r \leq_P q^x, q^y$,

$$r \Vdash_P " \Sigma^x \cup \Sigma^y \subseteq \dot{I}, \text{ hence } \Sigma^x \cup \Sigma^y \text{ is } \perp_d\text{-homogeneous} " .$$

Therefore,

$$\Vdash_{\mathcal{A}(P)} " \Vdash_P " \text{ let } \dot{Y} := \{ \Sigma : \langle q, \Sigma, n \rangle \in \bigcup \dot{G}_{\mathcal{A}(P)} \text{ with } q \in \dot{G}_P \} ,$$

$$\text{then } \{ \max(\bigcup \Sigma) : \Sigma \in \dot{Y} \} \text{ is cofinal in } \omega_1^V, \text{ and}$$

$$\dot{Y} \rightarrow \omega \quad \text{is injective} " .$$

$$\cup \quad \cup$$

$$\Sigma \mapsto n, \text{ which is the unique } n$$

$$\text{so that } \langle q, \Sigma, n \rangle \in \bigcup \dot{G}_{\mathcal{A}(P)} \text{ with } q \in \dot{G}_P$$

□

Definition (Asperó-Mota)

- A forcing notion \mathbb{P} is called *finitely proper* if, for any large enough regular cardinal λ , any finite set $\{N_i : i \in n\}$ of countable elementary submodels of H_λ containing \mathbb{P} as a member, and any $p \in \mathbb{P} \cap \bigcap_{i \in n} N_i$, there exists an extension of p in \mathbb{P} that is (N_i, \mathbb{P}) -generic for all $i \in n$.
- $\text{PFA}^{\text{fin}}(\aleph_1)$ is the forcing axiom for all finitely proper forcing notions of size \aleph_1 and \aleph_1 -many dense sets.

Proposition

The following assertions follow from $\text{PFA}^{\text{fin}}(\aleph_1)$.

- MA_{\aleph_1} ,
- \mathfrak{U} ,
- there are no weak club guessing ladder systems,
- any two Aronszajn trees are club isomorphic.

Review 2: (Asperó-Mota). Con($\text{PFA}^{\text{fin}}(\aleph_1)$ & $2^{\aleph_0} > \aleph_2$).

To force $\text{PFA}^{\text{fin}}(\aleph_1)$ together with $2^{\aleph_0} > \aleph_2$, use an iteration of V -finitely proper forcing notions *by finite support equipped with models as side conditions*.

Suppose CH. Let

- κ be an uncountable regular cardinal with $\kappa \geq \aleph_2$ and $2^{<\kappa} = \kappa$,
- $\Phi : \kappa \rightarrow H_\kappa$ be a surjection such that, for any $x \in H_\kappa$, $\Phi^{-1}[\{x\}]$ is unbounded in κ ,
- $\mathcal{M}_0 := \{M \in [H_\kappa]^{\aleph_0} : M \prec (H_\kappa, \Phi)\}$.

Definition (Todorčević, Asperó-Mota)

A finite subset S of \mathcal{M}_0 is called a *symmetric system* if

- for each $M, M' \in S$, if $\omega_1 \cap M = \omega_1 \cap M'$, then $M \simeq M'$,
- for each $M, M' \in S$, if $\omega_1 \cap M' < \omega_1 \cap M$, then there exists $M'' \in S$ such that $M'' \simeq M$ and $M' \in M''$,
- for each $M_0, M_1 \in S$ and $M' \in S \cap M_0$, if $\omega_1 \cap M_0 = \omega_1 \cap M_1$, then $\Psi_{M_0, M_1}(M') \in S$,
- for each $M, M' \in S$, if $\omega_1 \cap M = \omega_1 \cap M'$, then $\Psi_{M, M'} \upharpoonright (\kappa \cap M \cap M')$ is identity.

Review 2: (Asperó-Mota). Con(PFA^{fin}(\aleph_1) & $2^{\aleph_0} > \aleph_2$).

By induction on $\alpha \in \kappa$, define \mathbb{P}_α which consists of $p = (R_p, A_p)$ such that

- ① $R_p \subseteq \mathcal{M}_0 \times \alpha$, $\text{dom}(R_p)$ is a symmetric system and, for each $M \in \text{dom}(R_p)$, the range of $R \cap (\{M\} \times \alpha)$ is an initial segment of $\alpha \cap M$,
- ② A_p is a function with domain a finite subset of α such that, for any $\xi \in \text{dom}(A_p)$, $\Phi(\xi)$ is a \mathbb{P}_ξ -name for a V -finitely proper forcing notion on ω_1 , and if $p \restriction \xi \in \mathbb{P}_\xi$, then for any $M \in R_p^{-1}[\{\xi\}] := \{M \in \text{dom}(R_p) : \langle M, \xi \rangle \in R_p\}$,

$$p \restriction \xi \Vdash_{\mathbb{P}_\xi} \text{“ } A_p(\xi) \text{ is } (M[\dot{G}], \Phi(\xi))\text{-generic”},$$

$$q \leq_{\mathbb{P}_\alpha} p \iff R_q \supseteq R_p \text{ \& for any } \xi \in \text{dom}(A_p),$$

$$q \restriction \xi \leq_{\mathbb{P}_\xi} p \restriction \xi \text{ \& } q \restriction \xi \Vdash_{\mathbb{P}_\xi} \text{“ } A_q(\xi) \leq_{\Phi(\xi)} A_p(\xi) \text{”}.$$

Note that \mathbb{P}_α has $(2^{\aleph_0})^+$ -cc, hence CH implies that \mathbb{P}_α has \aleph_2 -cc.

Want to show that, for any $p \in \mathbb{P}_\alpha$, $\xi \in \text{dom}(A_p)$, and $M \in R_p^{-1}[\{\xi\}]$, p is (M, \mathbb{P}_ξ) -generic. However, if M doesn't have enough information on \mathbb{P}_ξ , it would not be possible.

Review 2: (Asperó-Mota). Con(PFA^{fin}(\aleph_1) & $2^{\aleph_0} > \aleph_2$).

- $\theta_0 = (2^\kappa)^+$ and $\theta_\alpha := \left(2^{\sup\{\theta_\beta; \beta \in \alpha\}} \right)^+$ for each $\alpha \in \kappa$,
- for each $\alpha \in \kappa$, $\mathcal{M}_\alpha^* := \{ N^* \in [H_{\theta_\alpha}]^{\aleph_0} : N^* \prec H_{\theta_\alpha}, \{\Phi, \langle \theta_\xi : \xi < \alpha \rangle\} \in N^*\}$,
 $\mathcal{M}_\alpha := \{ N^* \cap H_\kappa : N^* \in \mathcal{M}_\alpha^* \}$.

Definition (Asperó-Mota)

By induction on $\alpha \in \kappa$, define \mathbb{P}_α which consists of $p = (R_p, A_p)$ such that

- 1 $R_p \subseteq \mathcal{M}_0 \times \alpha$, $\text{dom}(R_p)$ is a symmetric system and, for each $M \in \text{dom}(R_p)$, the range of $R \cap (\{M\} \times \alpha)$ is an initial segment of $\alpha \cap M$ **such that, for any $\xi < \alpha$, $R_p^{-1}[\{\xi\}] := \{M \in \text{dom}(R_p) : \langle M, \xi \rangle \in R_p\} \subseteq \mathcal{M}_\xi$,**
- 2 A_p is a function with domain a finite subset of α such that, for any $\xi \in \text{dom}(A_p)$, $\Phi(\xi)$ is a \mathbb{P}_ξ -name for a V -finitely proper forcing notion on ω_1 , and if $p \restriction \xi \in \mathbb{P}_\xi$, then for any $M \in R_p^{-1}[\{\xi\}]$,

$$p \restriction \xi \Vdash_{\mathbb{P}_\xi} \text{“ } A_p(\xi) \text{ is } (M[\dot{G}], \Phi(\xi))\text{-generic”},$$

$$q \leq_{\mathbb{P}_\alpha} p \iff R_q \supseteq R_p \text{ \& for any } \xi \in \text{dom}(A_p),$$

$$q \restriction \xi \leq_{\mathbb{P}_\xi} p \restriction \xi \text{ \& } q \restriction \xi \Vdash_{\mathbb{P}_\xi} \text{“ } A_q(\xi) \leq_{\Phi(\xi)} A_p(\xi) \text{”}.$$

Theorem (Asperó-Mota)

- 1 For $\alpha < \beta < \kappa$, \mathbb{P}_α completely embeds into \mathbb{P}_β .
- 2 For $N^* \in \mathcal{M}_{\alpha+1}^*$ and $p \in \mathbb{P}_\alpha$,
if $\{N^* \cap H_\kappa\} \times (\alpha \cap N^*) \subseteq R_p$, then p is (N^*, \mathbb{P}_α) -generic.
In particular, if $\langle N^* \cap H_\kappa, \alpha \rangle \in R_p$, p is (N^*, \mathbb{P}_α) -generic.
- 3 Let \mathbb{P}_κ^* be the direct limit of the \mathbb{P}_α . Then \mathbb{P}_κ^* is proper and forces that $\text{PFA}^{\text{fin}}(\aleph_1)$ holds and $2^{\aleph_0} = \kappa$.

Review 2: (Asperó-Mota). Con($\text{PFA}^{\text{fin}}(\aleph_1)$ & $2^{\aleph_0} > \aleph_2$), and Result

Let E be a k -entangled set of reals. Want to force $\text{PFA}^{\text{fin}}(\aleph_1)$ combining with preserving E to be k -entangled.

For $\alpha < \kappa$, define Asperó-Mota iteration \mathbb{P}_α^E such that, at stage $\xi < \alpha$,

- if $\Phi(\xi)$ is a \mathbb{P}_ξ^E -name for a V -finitely proper forcing notion on ω_1 and preserves E to be k -entangled, then force $\Phi(\xi)$,
- if $\Phi(\xi)$ is a \mathbb{P}_ξ^E -name for a V -finitely proper forcing notion on ω_1 and destroys the k -entangledness of E , then force $\mathcal{A}(\Phi(\xi))$ whose conditions (\mathcal{N}, W) satisfy that $\mathcal{N} \subseteq R_p^{-1}[\{\xi\}]$. (This seems to be necessary to show that \mathbb{P}_α^E preserves E to be k -entangled.)

Attention 1.

For $p = (R_p, A_p) \in \mathbb{P}_\alpha$ and $M \in \text{dom}(R_p)$, the marker of M cannot be increased freely. Because a condition $p \in \mathbb{P}_\alpha$ is required that, for any $M \in R_p^{-1}[\{\xi\}]$,

$$p \restriction \xi \Vdash_{\mathbb{P}_\xi} "A_p(\xi) \text{ is } (M[\dot{G}], \Phi(\xi))\text{-generic}."$$

So to accomplish *** above, a condition $p = (R_p, A_p)$ of \mathbb{P}_α^E should be required that, for each $\xi < \alpha$, $R_p^{-1}[\{\xi\}]$ forms a symmetric system.

Attention 2.

Even if $M, N_0, N_1 \in \mathcal{M}_\xi$, $M \in N_0$ and $N_0 \simeq N_1$, $\Psi_{N_0, N_1}(M)$ may NOT be in \mathcal{M}_ξ .

Hence we introduce a new \mathcal{M}_ξ and give up forcing the whole $\text{PFA}^{\text{fin}}(\aleph_1)$.

Definition (Miyamoto)

Define a symmetric system of countable elementary submodels of the relational structure like

$$\langle H_\kappa, \in, \mathbb{P}, \leq_{\mathbb{P}}, R_{=}^{\mathbb{P}}, R_{\in}^{\mathbb{P}}, H_\kappa^{\mathbb{P}}, E, \Phi \rangle,$$

and define $\mathcal{M}_\alpha^{\mathbb{P}}$, for each $\alpha < \kappa$, with the property:

- For any $M, N_0, N_1 \in \mathcal{M}_\xi^{\mathbb{P}}$ with $M \in N_0$ and $N_0 \simeq N_1$, $\Psi_{N_0, N_1}(M)$ is in $\mathcal{M}_\xi^{\mathbb{P}}$,
- Let \mathbb{P}_α be Asperó-Mota iteration with the property that, for each $\xi < \alpha$, $R_p^{-1}[\{\xi\}]$ forms a symmetric system.

Under some assumption, it is possible to show that \mathbb{P}_α is proper as a class forcing, more precisely, for any $N \in \mathcal{M}_\alpha^{\mathbb{P}}$ and $p \in \mathbb{P}_\alpha$, if $\{N\} \times (\alpha \cap N) \subseteq R_p$, then p is (N, \mathbb{P}_α) -generic.

Definition

Define \mathbb{P}_α^E as above, but conditions $p = (R_p, A_p)$ satisfies that for each $\xi < \alpha$, $R_p^{-1}[\{\xi\}]$ forms a symmetric system.

Review 2: (Asperó-Mota). Con($\text{PFA}^{\text{fin}}(\aleph_1)$ & $2^{\aleph_0} > \aleph_2$), and Result

Definition

- 1 For a forcing notion \mathbb{P} , a countable elementary submodel M of H_λ containing \mathbb{P} as a member, and $p \in \mathbb{P}$, p is called a **solid** (M, \mathbb{P}) -generic condition if, for any countable elementary submodel N of H_λ containing \mathbb{P} as a member with $\omega_1 \cap N = \omega_1 \cap M$, p is (N, \mathbb{P}) -generic.
- 2 A forcing notion \mathbb{P} is **s-finitely proper** if, for every large enough regular cardinal λ , every finite set $\{N_i : i \in n\}$ of countable elementary submodels of H_λ containing \mathbb{P} as a member, and every $p \in \mathbb{P} \cap \bigcap_{i \in n} N_i$, there exists an extension of p in \mathbb{P} that is **solid** (N_i, \mathbb{P}) -generic for all $i \in n$.
- 3 $\text{PFA}^{\text{s-fin}}(\aleph_1)$ is the forcing axiom for all s-finitely proper forcing notions of size \aleph_1 and \aleph_1 -many dense sets.

Proposition

$\text{PFA}^{\text{s-fin}}(\aleph_1)$ also implies MA_{\aleph_1} , $\bar{\cup}$, that there are no weak club guessing ladder systems, and that any two Aronszajn trees are club isomorphic.

It is not known for sure whether $\text{PFA}^{\text{s-fin}}(\aleph_1)$ is equivalent to $\text{PFA}^{\text{fin}}(\aleph_1)$.

Definition

Define \mathbb{P}_α^E as above, but replace V -finitely proper with **V-s-finitely proper** in the definition.

Theorem (Miyamoto-Y.)

- 1 For $\alpha < \beta < \kappa$, \mathbb{P}_α^E completely embeds into \mathbb{P}_β^E .
- 2 For $N \in \mathcal{M}_{\alpha+1}^P$ and $p \in \mathbb{P}_\alpha^E$, if $\{N \cap H_\kappa\} \times (\alpha \cap N) \subseteq R_p$, then is p (N, \mathbb{P}_α^E)-generic?

To show this, a trouble would be happened when α is $\geq \omega_2$ and is of uncountable cofinality.

For a predense subset $D \in N$ of \mathbb{P}_α^E , we have to build an extension q , that is compatible with some condition in D , of a given p such that, for any $\xi < \alpha$, $R_q^{-1}[\{\xi\}]$ forms a symmetric system.

Theorem (Miyamoto-Y.)

- 1 For $\alpha < \beta < \kappa$, \mathbb{P}_α^E completely embeds into \mathbb{P}_β^E .
- 2 If $\alpha < \omega_2$, then for $N \in \mathcal{M}_{\alpha+1}^P$ and $p \in \mathbb{P}_\alpha^E$, if $\{N \cap H_\kappa\} \times (\alpha \cap N) \subseteq R_p$, then p is (N, \mathbb{P}_α^E)-generic.
- 3 Suppose that $\kappa = \aleph_2$, and Let \mathbb{P}_κ^{E*} be the direct limit of the \mathbb{P}_α^E . Then \mathbb{P}_κ^{E*} is proper, preserves E to be k -entangled, and forces that $\text{PFA}^{\text{s-fin}}(\aleph_1)$ holds and $2^{\aleph_0} = \kappa = \aleph_2$. Consequently, for each integer $k \geq 2$, it is consistent that $\text{PFA}^{\text{s-fin}}(\aleph_1)$ holds, $2^{\aleph_0} = \kappa = \aleph_2$, and there exists a k -entangled set of reals.

Review 2: (Asperó-Mota). Con($\text{PFA}^{\text{fin}}(\aleph_1)$ & $2^{\aleph_0} > \aleph_2$), and Result

(Todorčević) PFA implies (B).

(Miyamoto-Y.) $\text{PFA}^{\text{s-fin}}(\aleph_1)$ imply (B).

(Abraham-Shelah) It is consistent that MA_{\aleph_1} holds and (B) fails.

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Proposition. $\text{PFA}^{\text{s-fin}}(\aleph_1)$ implies (T).

(Peng) It is consistent that MA_{\aleph_1} holds and (T) fails.

(B) Any two \aleph_1 -dense sets of reals are order-isomorphic.

(T) Every Countryman line contains an isomorphic copy of Todorčević's Countryman line $C(\rho_0)$ or its reverse.