

# Baumgartner's Isomorphism Theorem for a Kurepa Line

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# Introduction

Recall the following theorem from the 70's.

## Theorem (Baumgartner)

*It is consistent with ZFC that every two  $\aleph_1$ -dense subsets of the reals are order isomorphic.*

- A linear order  $L$  without endpoints is said to be  $\kappa$ -dense if for all  $x < y$  in  $L$  there are exactly  $\kappa$  many elements in between  $x, y$ .
- Baumgartner viewed his theorem, which is a consequence of PFA, as an extension of Cantor's famous theorem on the rationals: every two  $\aleph_0$ -dense linear orders are isomorphic.
- Assume  $L$  is a linear order. Density of  $L$  is  $\min\{|D| : D \subset L \text{ is dense}\}$ .
- The analogue of Baumgartner's theorem for homogeneous linear orders of density  $\aleph_1$  is closely related to Kurepa lines.
- A linear order is said to be homogeneous if every two non-empty open intervals are isomorphic.

# Kurepa lines

## Definition

A linear order is said to be Kurepa if

- $|L| \geq \aleph_2$ ,
  - the closure of any countable subset of  $L$  is countable, and
  - density of  $L$  is  $\aleph_1$
- There is a Kurepa line if and only if there is a Kurepa tree.
- If there is an inaccessible cardinal then it is consistent that there are no Kurepa trees (lines).

# An isomorphism theorem for Kurepa lines

## Theorem

*It is consistent with CH there is a homogeneous Kurepa line  $K$  of size  $\aleph_2$  such that whenever  $L \subset K$  is  $\aleph_2$ -dense and all  $x \in L$  have uncountable cofinality and coinitality, then  $L \simeq K$ .*

# Some technical terminology

## Definition

Assume  $X$  is uncountable,  $S \subset [X]^\omega$  and  $P$  is a forcing. We say that  $P$  is  $S$ -complete if for all suitable models  $M$  with  $M \cap X \in S$  all decreasing  $(M, P)$ -generic  $\omega$ -sequences of elements of  $P$  have a lower bound in  $P$ .

- This criteria is used in order to show certain sets remain stationary and  $\omega_1$  is preserved.
- In order to make sure  $\aleph_2$  is preserved by our forcings, we use ideas behind Shelah's proper isomorphism condition machinery.

# Generating a candidate for $K$

## Definition

$Q$  is the poset consisting of all conditions  $q = (T_q, b_q)$  such that

- $T_q$  is a countable lexicographically ordered tree of height  $\alpha_q + 1$  such that every element of it has an extension in the top level, and
- $b_q$  is a countable partial function from  $\omega_2$  onto the top level of  $T_q$ .

We let  $q \leq p$  if:

- $T_q$  is an end-extension of  $T_p$ ,
- $\text{dom}(b_q) \supset \text{dom}(b_p)$ ,
- for all  $\xi \in \text{dom}(b_p)$ ,  $b_p(\xi) \leq_{T_q} b_q(\xi)$

## Regarding $Q$ ...

- It is well known that  $Q$  is countably closed and under CH, has the  $\aleph_2$ -chain condition.
- Assume  $G \subset Q$  is generic.
- From now on  $T = \bigcup\{T_q : q \in G\}$ .
- $b_\xi = \{b_q(\xi) : q \in G\}$  is a cofinal branch of  $T$ .
- $B(T) = \{b_\xi : \xi \in \omega_2\}$  is the set of all branches of  $T$ .
- $\Omega(T)$  is stationary in  $[B(T)]^\omega$ .

# Adding tree embeddings to $T$

## Definition

Suppose  $T$  is as above  $U$  a downward closed everywhere Kurepa subtree of  $T$ , and  $C_U \subset \omega_2$  a club that is fast enough. The poset  $Q_{T,U}$  is the set of all conditions  $p = (f_p, \phi_p)$  such that

- ①  $f_p : T \upharpoonright A_p \longrightarrow U \upharpoonright A_p$  is a level preserving tree isomorphism, where  $A_p \subset \omega_1$  is countable and closed with  $\max A_p = \alpha_p$ ,
- ②  $\phi_p$  is a countable partial injection on  $\omega_2$  such that
  - ① for all  $\xi \in \text{dom}(\phi_p)$ ,  $b_{\phi_p(\xi)} \in B(U)$ ,
  - ②  $\phi_p$  respects  $C_U$
- ③ if  $\text{dom}(\phi_p)$  then  $f_p(b_\xi(\alpha_p)) = b_{\phi_p(\xi)}(\alpha_p)$ .

We let  $p \leq q$  if  $f_q \subset f_p$ ,  $f_p \upharpoonright T_q = f_q$  and  $\phi_p \subset \phi_q$ .

This forcing is  $\Omega(T)$ -complete and satisfies the  $\Omega(T)$ -completeness isomorphism condition.



# Fast club definition

## Definition

A club  $C \subset \omega_2$  is fast enough for  $U$  and  $T$  if it is the set of all  $\sup(M_\xi \cap \omega_2)$  where  $\langle M_\xi : \xi \in \omega_2 \rangle$  is a continuous  $\in$ -chain of  $\aleph_1$ -sized elementary submodels of  $H_\theta$  such that

- $U, T$  are in  $M_0$
- for all  $\xi \in \omega_1$ ,  $\xi \cup \omega_1 \subset M_\xi$
- for all  $\xi \in \omega_1$ , the sequence up to  $\xi$  is in  $M_{\xi+1}$

Let  $\phi$  be a partial function on  $\omega_2$  and  $C \subset \omega_2$ . We say  $\phi$  respects  $C$  whenever  $\xi < \alpha$  is equivalent to  $\phi(\xi) < \alpha$  for all  $\xi \in \text{dom}(\phi)$  and  $\alpha \in C$ .

# Making small dense sets biembeddable

## Definition

Assume  $T$  is as above and  $X, Y$  are two subsets of  $\omega_2$  such that  $|X| = |Y| = \aleph_1$  and the closure of both  $\{b_\xi : \xi \in X\}$ ,  $\{b_\xi : \xi \in Y\}$  have cardinality  $\aleph_2$ . Let  $U = \bigcup\{b_\xi : \xi \in X\}$  and  $V = \bigcup\{b_\xi : \xi \in Y\}$ .  $\mathcal{F}_{XY}(= \mathcal{F})$  is the poset consisting of all conditions  $p = (f_p, \phi_p)$  for which the following hold:

- 1  $f_p : U \upharpoonright A_p \rightarrow V \upharpoonright A_p$  is a lex order and level preserving tree isomorphism where  $A_p \subset \omega_1$  is countable and closed with  $\max A_p = \alpha_p$ .
- 2  $\phi_p$  is a countable partial injection from  $\omega_2$  to  $\omega_2$  such that:
  - 1  $\phi_p$  respects a fast enough club,
  - 2 for all  $\xi \in \text{dom}(\phi_p)$ , if  $\xi \in X$  then  $\phi_p(\xi) \in Y$ , and
  - 3 the map  $b_\xi \mapsto b_{\phi_p(\xi)}$  is lexicographic order preserving.
- 3 For all  $t \in T_{\alpha_p}$  there are at most finitely many  $\xi \in (\phi_p)$  with  $t \in b_\xi$ .
- 4 For all  $\xi \in (\phi_p)$ ,  $f_p(b_\xi(\alpha_p)) = b_{\phi_p(\xi)}(\alpha_p)$ .

We let  $q \leq p$  if  $f_q \subset f_p$ ,  $A_q \cap \alpha_p = A_p$  and  $\phi_q \subset \phi_p$ .

This forcing is  $\Omega(T)$ -complete but it does not satisfy  $\Omega(T)$ -cic.

By iterating these posets over a model of GCH we can get reach a model in which the following hold.

- 1  $T$  is Kurepa.
- 2  $T$  is club isomorphic to all of its everywhere Kurepa subtrees and has no Aronszajn subtree.
- 3  $\Omega(T)$  is stationary.
- 4 If  $X, Y$  are two suborders of  $K = B(T)$  and  $|X| = |Y| = \aleph_1$  and their closure has cardinality  $\aleph_2$  then  $X$  embeds into  $Y$  as a linear order.

# $K$ can be a minimal Kurepa line

For all  $\aleph_2$ -dense  $L \subset K$  we add an  $\aleph_2$ -dense closed  $Z \subset L$ .

## Definition

Assume  $L$  is as above.  $P_L$  is the set of all conditions  $p = (Z_p, A_p)$  such that:

- $Z_p \subset L$  is countable and non-empty,
  - $A_p$  is a countable antichain of  $T$  such that  $Z_p \cap A_p = \emptyset$ .
- 
- $P_L$  is  $\Omega(T)$ -complete and has the  $\Omega(T)$ -cic. In particular preserves  $\aleph_1, \aleph_2$ .
  - Assume  $G \subset P_L$  is generic. Then  $\bigcup_{p \in G} Z_p \cup \{b \in K : \exists p \in G \ b \cap A_p \neq \emptyset\} = K$ .
  - After forcing with  $P_L$ ,  $2^{\omega_1} = 2^{\omega_2}$ .

By iterating these posets over a model of GCH we can get reach a model in which the following hold.

- 1  $T$  is Kurepa.
- 2  $T$  is club isomorphic to all of its everywhere Kurepa subtrees and has no Aronszajn subtree.
- 3  $\Omega(T)$  is stationary.
- 4 If  $X, Y$  are two suborders of  $K = B(T)$  and  $|X| = |Y| = \aleph_1$  and their closure has cardinality  $\aleph_2$  then  $X$  embeds into  $Y$  as a linear order.
- 5  $K$  embeds in all of its  $\aleph_2$ -dense suborders.

# Isomorphism Forcings

- $L \subset K$  is  $\aleph_2$ -dense and there is no  $x \in L$  with countable cofinality or coinitality.
- If  $t \in T$ ,  $L_t$  refers to the set of all  $b \in K$  such that  $t \in b$ .

## Definition

Assume  $L$  is as above and  $U = \bigcup L$ . For each  $(t, s) \in T \otimes U$  fix embeddings  $i_{ts} : K_t \rightarrow L_s$  and  $j_{st} : L_s \rightarrow K_t$ . The forcing  $I$  consists of conditions  $p = (f_p, \phi_p)$  such that:

- $f_p : T \upharpoonright A_p \rightarrow U \upharpoonright A_p$  is a lex order and level preserving tree isomorphism where  $A_p \subset \omega_1$  is countable and closed with  $\max A_p = \alpha_p$ .
- $\phi_p$  is a countable partial injection from  $K$  to  $L$  such that for all  $b \in \text{dom}(\phi_p)$  there  $(t, s) \in T \otimes U$  such that  $\phi_p(b) = i_{ts}(b)$  or  $\phi_p(b) = j_{st}(b)$ .
- For each  $t \in T_{\alpha_p}$  there are at most finitely many  $b \in K$  with  $t \in b \in \text{dom}(\phi_p)$ .
- for all  $b \in \text{dom}(\phi_p)$ ,  $f_p(b(\alpha_p)) = [\phi_p(b)](\alpha_p)$ .

This poset is  $\Omega(T)$ -complete and has the  $\Omega(T)$ -cic.