

Colorings and subdivisions:
a partition principle for higher limits

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Young Set Theory Workshop

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A descriptive approach to higher derived limits,

joint with Nathaniel Bannister, Justin Tatch Moore, and Stevo Todorcevic (arXiv 2022).

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Although this isn't a particularly simple work, its idea and motivation, I think, are, and it's these that I'll aim above all to communicate in the next hour.

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Definition (Milnor 1962)

A homology theory H_* is *additive on the class \mathcal{C} of topological spaces* if for all p and $\{X_\alpha \mid \alpha \in A\} \subseteq \mathcal{C}$ with $\coprod_{\alpha \in A} X_\alpha$ in \mathcal{C} , the map

$$\bigoplus_{\alpha \in A} H_p(X_\alpha) \rightarrow H_p\left(\coprod_{\alpha \in A} X_\alpha\right)$$

induced by the inclusions $X_\alpha \hookrightarrow \coprod_{\alpha \in A} X_\alpha$ is an isomorphism.

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Second, *strong homology*:

a sketch of the idea of strong homology

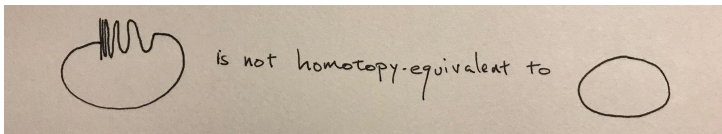
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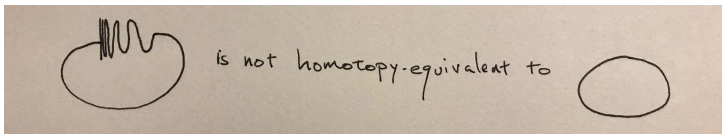
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but it has its *shape*, in the sense that both these figures divide the plane, and that their systems of neighborhoods are structurally equivalent. Among the several virtues of *strong homology* \bar{H}_* are its strong shape-invariance: it is the pre-eminent homology theory with this feature which coincides with the classical theories on HCW.

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Can strong homology be additive on any robust class of topological spaces properly extending HCW?

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Can strong homology be additive on any robust class of topological spaces properly extending HCW?

What Mardešić and Prasad had noticed around 1988 was the following fundamental impediment to additivity.

Is strong homology additive?

Mardešić and Prasolov
observed

that if strong homology \bar{H}_k is additive then

$$\begin{aligned} & \bar{H}_p(\mathbb{Q}^{(\omega)}) \oplus \bar{H}_p(\mathbb{Q}^{(\omega)}) \oplus \bar{H}_p(\mathbb{Q}^{(\omega)}) \oplus \dots \\ &= \bar{H}_p(\mathbb{Q}^{(\omega)} \sqcup \mathbb{Q}^{(\omega)} \sqcup \mathbb{Q}^{(\omega)} \sqcup \dots) \end{aligned}$$

for any $k, p < \omega$. They then computed
that for $0 < p < k$ this equates to

$$\begin{aligned} & 0 \oplus 0 \oplus 0 \oplus \dots \\ &= \lim^{k-p} A. \end{aligned}$$

Hence the question: Can $(\lim^{k-p} A = 0)$?

the inverse system \mathbf{A}

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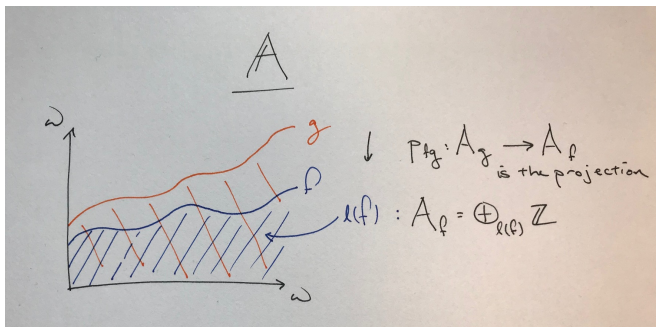
— i.e., necessary for the additivity of \bar{H}^* is the vanishing of the \lim^n ($n > 0$) groups of the system $\mathbf{A} = (A_f, p_{fg}, \mathcal{N})$, where

- \mathcal{N} is the partial order (ω, \leq) ,
- $A_f = \bigoplus_{\ell(f)} \mathbb{Z}$, where $\ell(f) = \{(i, j) \in \omega^2 \mid j \leq f(i)\}$, and
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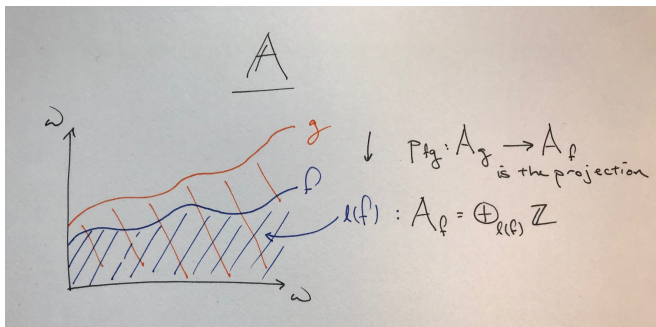
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Observe that $\lim \mathbf{A}$ may be identified with

$$\{\varphi : \omega \times \omega \rightarrow \mathbb{Z} \mid \text{supp}(\varphi) \in (fin \times \emptyset)\}.$$

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Definition

A family of functions $\Phi = \{\varphi_f : \ell(f) \rightarrow \mathbb{Z} \mid f \in {}^\omega\omega\}$ is **coherent** if

$$\varphi_g - \varphi_f =^* 0$$

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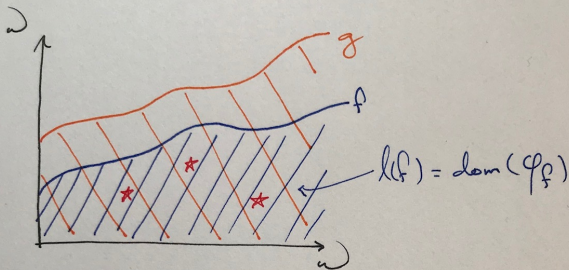
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for all f in ${}^\omega\omega$. Observe that pointwise addition endows both the collection **Coh** of coherent families of functions and the collection **Triv** of trivial families of functions with the structure of a group. $\lim^1 \mathbf{A}$ is isomorphic to the quotient **Coh/Triv**.

lim^{*}A



*s mark the
finitely disagreements, in $l(f)$,
between φ_f and φ_g . This is coherence.

Does there exist a $\varphi: \begin{array}{c} \omega \\ \text{shaded region} \\ \omega \end{array} \rightarrow \mathbb{Z}$

agreeing mod finite with all φ_f 's?

This is triviality.

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These results remained the state of the art for roughly the next twenty years. As we've seen, however, the additivity of strong homology would require that $\lim^n \mathbf{A} = 0$ for *all* $n > 0$.

$$\lim^2 \mathbf{A}$$

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Definition and Theorem

A family $\Phi = \{\varphi_{fg} : \ell(f \wedge g) \rightarrow \mathbb{Z} \mid f, g \in {}^\omega\omega\}$ is alternating if $\varphi_{fg} = -\varphi_{gf}$ for all $f, g \in {}^\omega\omega$.

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An alternating Φ as above is **2-coherent** if

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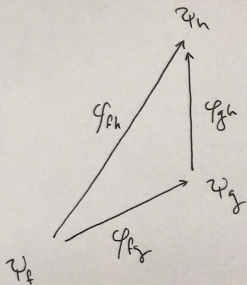
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$\lim^2 \mathbf{A} = 0$ iff every 2-coherent family is 2-trivial.



$$\varphi_{fg} + \varphi_{gh} \stackrel{*}{=} \varphi_{fh}$$

for all $f, g, h \in \mathcal{W}$.

Is this because

there exist ψ_f, ψ_g, ψ_h

such that

$$\psi_g - \psi_f \stackrel{*}{=} \varphi_{fg}$$

$$\psi_h - \psi_g \stackrel{*}{=} \varphi_{gh}$$

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Locally, it must be.

... but globally?

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Theorem (B., Lambie-Hanson 2019)

Let κ be a weakly compact cardinal and let \mathbb{P} denote a length- κ finite-support iteration of Hechler forcings. Then

$$V^{\mathbb{P}} \models \text{“}\lim^n \mathbf{A} = 0 \text{ for all } n > 0.\text{”}$$

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In the model $V^{\mathbb{P}}$ appearing above, strong homology is additive on the class of locally compact separable metric spaces.

Theorem (B., Hrušák, Lambie-Hanson 2021)

It is consistent with the ZFC axioms that $2^{\aleph_0} = \aleph_{\omega+1}$ and $\lim^n \mathbf{A} = 0$ for all $n > 0$.

a factorization

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Let's note the outstanding remaining open question in this line:

Question

What is the minimum value of the continuum compatible with the assertion “ $\lim^n \mathbf{A} = 0$ for all $n > 0$ ”?

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Write Ω for the quasi-order $({}^\omega\omega, \leq^*)$.

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Write Ω for the quasi-order $({}^\omega\omega, \leq^*)$. We turn our focus now to a family of purely set-theoretic principles $\text{PH}_n(\Omega)$ ($n \in \omega$) through which the above results *factor* in the following sense:

Theorem (Bannister, B., Moore, Todorcevic 2022)

Let $V^{\mathbb{P}}$ be as above; then

$$V^{\mathbb{P}} \models \text{“PH}_n(\Omega) \text{ for all } n \in \omega\text{.”}$$

Theorem (Bannister, B., Moore, Todorcevic 2022)

“PH_n(Ω) for all $n \in \omega$ ” implies that strong homology is additive on the class of locally compact separable metric spaces.

the principles in question

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Notation (1)

Let Q be a quasi-order.

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$$Q^{\leq n} = \bigcup_{i=1}^n Q^i$$

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Let

$$Q^{[n]} = \{\sigma \in Q^n \mid i \leq j \Rightarrow \sigma(i) \leq \sigma(j)\}$$

and let

$$Q^{[[n]]} = \{\bar{\sigma} \in \prod_{i=1}^n Q^i \mid i \leq j \Rightarrow \bar{\sigma}(i) \trianglelefteq \bar{\sigma}(j)\}.$$

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Notation (2)

For any $n \geq 1$, say a function $F : Q^{\leq n} \rightarrow Q$ is *n-cofinal* if

- 1 $s \leq F(s)$ for all $s \in Q$, and
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Observe that such a function induces an

$$F^* : Q^{[[n]]} \rightarrow Q^{[n]}$$

given by

$$F^*(\bar{\sigma}) = F^* \circ \sigma = \langle F(\bar{\sigma}(i)) \mid 1 \leq i \leq n \rangle.$$

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Observe also that if $F : Q^{\leq n} \rightarrow Q$ is *n-cofinal* and $1 \leq m \leq n$ then $F \upharpoonright Q^{\leq m}$ is *m-cofinal*.

the principles in question

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Definition

For any $n \geq 1$ the **partition hypothesis** associated to Q^{n+1} is:

$\text{PH}_n(Q)$: For all $c : Q^{n+1} \rightarrow \omega$ there is an $(n + 1)$ -cofinal $F : Q^{\leq n+1} \rightarrow Q$ such that $c \circ F^*$ is constant.

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Note that by our observations on the preceding slide, these principles are monotonic in n ; in other words,

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Is $\text{PH}_0(\omega)$ true or false?

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Answer

False; hence $\text{PH}_n(\omega)$ is false for all $n \in \omega$.

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Is $\text{PH}_1(\omega_1)$ true or false?

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False again.

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$\text{PH}_n(\xi)$ for ξ an ordinal

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The idea of the argument is this. We inductively define colorings $c_n : (\omega_n)^{n+1} \rightarrow \omega$ which witness the failure of $\text{PH}_n(\omega_n)$.

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The idea of the argument is this. We inductively define colorings $c_n : (\omega_n)^{n+1} \rightarrow \omega$ which witness the failure of $\text{PH}_n(\omega_n)$. Each essentially derives from a coloring $\tilde{c}_n : [\omega_n]^{n+1} \rightarrow \omega$ defined as follows: for each $\gamma \in \omega_n$ fix an injection $f_\gamma : \gamma \hookrightarrow \omega_{n-1}$ and let

$$\tilde{c}_n(\vec{\alpha}, \gamma) = \tilde{c}_{n-1}(f_\gamma(\alpha_0), \dots, f_\gamma(\alpha_{n-1}))$$

for any $\alpha_0 < \dots < \alpha_{n-1} < \gamma < \omega_n$.

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for any $\alpha_0 < \dots < \alpha_{n-1} < \gamma < \omega_n$. **Proving** that for any n -cofinal $F : (\omega_n)^{\leq n+1} \rightarrow \omega_n$ the function $c_n \circ F^*$ is nonconstant is where things get interesting: the indispensable tools, as it turned out, were simplicial homology, and a view of these accumulating $\vec{\alpha} * \gamma$ relations as simplicial cones. □

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On the other hand:

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$\text{PH}_0(\xi)$ is a ZFC theorem for any $\xi \notin \text{Cof}(\aleph_0)$.

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If there exists a uniform, countably complete, \aleph_1 -dense ideal \mathcal{I} on ω_2 , then $\text{PH}_1(\omega_2)$ holds.

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Recall an ideal \mathcal{I} is \aleph_1 -dense if $P(\omega_2)/\mathcal{I}$ contains a dense set of size \aleph_1 . Recall also that Foreman has constructed such ideals, beginning from the assumption of a huge cardinal.

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$$F(\alpha, \beta) = \min(A_{F(\alpha)} \cap A_{F(\beta)} \setminus F(\alpha) \cup F(\beta)).$$

Since both $A_{F(\alpha)}$ and $A_{F(\beta)}$ contain $X \pmod{\mathcal{I}}$, this expression is well-defined. □

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- $\aleph_1 \leq \text{add}(\mathcal{I})$,
- $\text{dens}(\mathcal{I}^+) < \text{add}(\mathcal{J}) \leq \kappa$, and
- $\text{dens}(\mathcal{J}^+) < \kappa$,

then $\text{PH}_2(\kappa)$ holds.

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The partition hypothesis $\text{PH}_n(\Omega)$ implies $\text{PH}_n(\mathfrak{d})$. In particular, it implies that $\text{cf}(\mathfrak{d}) > \omega_n$, and if $n > 0$, it further implies that $\text{cf}(\mathfrak{d})$ is weakly compact in L .

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Proof idea.

If $\langle f_\alpha \mid \alpha < \mathfrak{d} \rangle$ is \leq^* -cofinal in ${}^\omega\omega$, then $f \mapsto \min\{\alpha \mid f \leq^* f_\alpha\}$ is a monotone map $\Omega \rightarrow \mathfrak{d}$ with cofinal image. \square

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a seeming digression

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simplicial sets

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Definition

Write Δ for the category of finite nonempty ordinals, whose objects are (in these contexts) typically written $[0] = \{0\}$, $[1] = \{0, 1\}$, $[n] = \{0, \dots, n\}$, etc., and whose morphisms are order-preserving maps $f : [m] \rightarrow [n]$.

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ordered simplicial complexes

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Example

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ordered simplicial complexes

Example

Let Y be your favorite ordered (abstract) simplicial complex — i.e., Y is a totally ordered set of vertices V , together with a downwards closed collection of finite subsets σ of V . Letting $X_n = \{\sigma \in Y \mid |\sigma| = n + 1\}$ *almost* determines a simplicial set; what's missing are the “degenerate” faces of Y , those of the form $(1, 3, 3, 5)$ (if $(1, 3, 5) \in Y$), etc. Adding these in defines the simplicial set X associated to Y ; the structuring maps in X are generated by face and coface maps I'll probably prefer to describe on the board. . . Let's recall also, while I'm there, the notion of the *subdivision* of an abstract simplicial complex.

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Like simplicial complexes, simplicial sets admit geometric realizations — in fact there exists a geometric realization functor $T : \mathbf{sSet} \rightarrow \mathbf{Top}$ which is left adjoint to the *singular* functor $S : \mathbf{Top} \rightarrow \mathbf{sSet}$, i.e.,

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For any quasi-order Q , let NQ denote the **nerve of Q** ; this is the simplicial set whose n -simplices consist of the length- $(n + 1)$ chains in Q .

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Notation

For any $n \geq 0$ the family of maps $v_i : [0] \rightarrow [n] : 0 \mapsto i$ determines a family of maps $v_i^ : X_n \rightarrow X_0$. Let*

$$\text{vert}(x) = \{v_i^*(x) \mid i \in [n]\}$$

for any $x \in X_n$.

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Soft Definition

For any quasi-order Q , the elements of $(Ex NQ)_n$ are the copies of *the subdivision of the standard abstract n -simplex* in Q .

PH_n restated

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To come now fully to the point:

Definition

For any simplicial set X and $n > 0$, say $Z \subseteq X_n$ *spans* $Y \subseteq X_0$ if for every $\bar{y} \in [Y]^{n+1}$ there exists a $z \in Z$ with $\text{vert}(Z) = \bar{y}$.

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$\kappa \rightarrow (\kappa)_\omega^{n+1}$ then translates as:

For all $c : [\kappa]^{n+1} \rightarrow \omega$ there exists a cofinal $Y \subseteq \kappa$ and c -monochromatic $Z \subseteq (N\kappa)_n$ spanning Y .

And $\text{PH}_n(\kappa)$ translates as:

For all $c : \kappa^{n+1} \rightarrow \omega$ there exists a cofinal $Y \subseteq \kappa$ and c -monochromatic $Z \subseteq (Ex N\kappa)_n$ spanning Y .

More generally, $\text{PH}_n(Q)$ asserts for any quasi-order Q that:

For all $c : Q^{n+1} \rightarrow \omega$ there exists a cofinal $Y \subseteq Q$ and c -monochromatic $Z \subseteq (Ex NQ)_n$ spanning Y .

PH_n for definable partitions

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PH_n for definable partitions

Just to recap: at the heart of several recent results on the vanishing of \lim^n , the arguments of which had all involved a distracting amount of algebra, is the *purely combinatorial principle* PH_n. This decomposition of those arguments facilitates a closer analysis of their *descriptive set theoretic content*, and this is what motivated the study of these principles in the first place. More particularly, we were interested in the higher- n versions of Todorćević's aforementioned result that *any analytic coherent family* $\Phi = \{\varphi_f : \ell(f) \rightarrow \mathbb{Z} \mid f \in {}^\omega\omega\}$ *is trivial*.

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Theorem (\dagger)

Any universally Baire n -coherent family $\Phi = \{\varphi_{\vec{f}} \mid \vec{f} \in (\omega^\omega)^n\}$ admits a Σ_1^2 trivialization.

(Recall that a subset A of a Polish space Y is *universally Baire* if for any topological space X and continuous $f : X \rightarrow Y$, $f^{-1}(A)$ has the property of Baire in X . (And recall that a subset B of a topological space X has *the property of Baire* if there is an open $U \subseteq X$ such that the symmetric difference of B and U is meager in X .)

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Theorem

Suppose that there is a supercompact cardinal or a proper class of Woodin cardinals. Then $L(\mathbb{R}) \models \text{“}\lim^n \mathbf{A} = 0 \text{ for all } n > 0\text{”}$.

\mathcal{H}_n -measurability and PH_n

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Back of these results is an analysis of $\text{PH}_n(\Omega)$ in relation to notions of \mathcal{H}_n -meagerness and \mathcal{H}_n -measurability which are closely tied to n -fold iterations of Hechler forcing.

Definition

Let *the Hechler topology* τ denote the topology on ${}^\omega\omega$ which is generated by the basic open sets

$$N_k(f) := \{g \in {}^\omega\omega \mid g \geq f \text{ and } g \upharpoonright k = f \upharpoonright k\}.$$

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$X \subseteq {}^\omega\omega$ is \mathcal{H}_1 -meager if it is a countable union of τ -nowhere dense sets. X is \mathcal{H}_1 -measurable if it has the property of Baire with respect to τ .

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“ $\text{PH}_n(\Omega)$ holds for measurable partitions”. Somewhat more precisely, if $c : (\omega\omega)^{n+1} \rightarrow \omega$ is an \mathcal{H}_{n+1} -measurable function then there exists an $(n+1)$ -cofinal function $F : \Omega^{\leq n+1} \rightarrow \Omega$ which is Σ_2^1 and such that $c \circ F^$ is constant.*

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For full disclosure, the principle \dagger should perhaps be recorded: it is the hypothesis that for every $n > 0$, every Σ_2^1 subset of $\Omega^{[n]}$ is \mathcal{H}_n -measurable. It holds in the Solovay model, and carries the consequence that every universally Baire Σ_2^1 subset of $\Omega^{[n]}$ is \mathcal{H}_n -measurable.

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