Breaking and Preserving [Some] Choice

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University of Leeds

18 August 2022

Young Set Theory Workshop 2020

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Part I Weak Choice Principles

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Every infinite set has a countably infinite subset.

Proof.

Let X be an infinite set, and let T be the set of all injective finite sequences of elements of X, ordered by end-extension.

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Proposition (ZF + DC)

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Proof.

Let X be an infinite set, and let T be the set of all injective finite sequences of elements of X, ordered by end-extension. Since X is infinite, T does not have any maximal nodes.

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Proposition (ZF + DC)

Every infinite set has a countably infinite subset.

Proof.

Let *X* be an infinite set, and let *T* be the set of all injective finite sequences of elements of *X*, ordered by end-extension. Since *X* is infinite, *T* does not have any maximal nodes. If $C \subseteq T$ is an infinite chain, then $\bigcup C$ is an injective function from ω into *X*.

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Suppose that *A* is a set and *R* is a relation on *A* with dom R = A, then given any $a_0 \in A$, there is a function $f: \omega \to A$ such that $f(0) = a_0$ and f(n) R f(n + 1).

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- Oownwards Löwenheim–Skolem Theorem for countable languages.
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- A partial order P is well-founded if and only if it does not have infinite descending chains.

The **Axiom of Countable Choice** (AC_{ω}) states that if $\{A_n \mid n < \omega\}$ is a family of non-empty sets, then there is a function f with domain ω , and $f(n) \in A_n$ for all $n < \omega$.

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The inverse implication does not hold. Namely, it is consistent with ZF that AC $_{\omega}$ holds, but DC fails.

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Note that for any given n, f_i for i < n can only enumerate less than n! + 1 elements, so by going to $f_{n!+1}$ we are guaranteed to find a suitable candidate for x_{n+1} .

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Remark

The statement that every infinite set has a countably infinite subset is weaker than AC_{ω} .

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Remark

The statement that every infinite set has a countably infinite subset is weaker than AC_{ω} . It is equivalent to the statement "If $\{A_i \mid i \in I\}$ is a family of sets which are co-finite subsets of $\bigcup A_i$, then it admits a choice function."

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- If {A_n | n < ω} is a family of non-empty sets, then there is an infinite I ⊆ ω such that {A_i | i ∈ I} admits a choice function.

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- 2 If $\{A_n \mid n < \omega\}$ is a family of non-empty sets, then there is an infinite $I \subseteq \omega$ such that $\{A_i \mid i \in I\}$ admits a choice function.
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- Countable sums of Lindelöf spaces are Lindelöf.
- Countable sums of separable spaces are separable.
- So If X is a metric space and $A \subseteq X$, then cl(A) = lim(A).
- If f is a function between two metric spaces, then f is continuous if and only if it is sequentially continuous.

The **Boolean Prime Ideal Theorem** (BPI) states that if *B* is a Boolean algebra, then *B* contains a prime ideal.

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Remark

The reverse implication holds. Namely, the Ultrafilter Lemma implies the Boolean Prime Ideal Theorem.

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Every set can be linearly ordered.

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This relation is easily irreflexive.

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Every set can be linearly ordered.

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BPI is consistent with the existence of an infinite set without a countably infinite subset!

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BPI is consistent with the existence of an infinite set without a countably infinite subset! It is in fact independent of DC and AC_{ω} !

Asaf Karagila (Leeds)

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- $\bigcirc 2^{I}$ is compact for any set *I*, where 2 is discrete.
- If R is a commutative ring with a unit, then every ideal is contained in a prime ideal.

Part II Symmetric Systems

Asaf Karagila (Leeds)

Breaking and Preserving [Some] Choice

18 August 2022

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Let \mathbb{P} be a notion of forcing.

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Image: A matrix and a matrix

$$\pi \dot{x} = \{ \langle \pi p, \pi \dot{y} \rangle \mid \langle p, \dot{y} \rangle \in \dot{x} \}.$$

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Lemma (The Symmetry Lemma)

 $p\Vdash\varphi(\dot{x})\iff \pi p\Vdash\varphi(\pi\dot{x}).$

Let \mathscr{G} be a group. We say that \mathscr{F} is a **filter of subgroups on** \mathscr{G} if it is a non-empty collection of subgroups of \mathscr{G} which is closed under finite intersections and supergroups.

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We say that $\langle \mathbb{P}, \mathscr{G}, \mathscr{F} \rangle$ is a **symmetric system** when \mathbb{P} is a notion of forcing, \mathscr{G} is a group of automorphisms of \mathbb{P} , and \mathscr{F} is a normal filter of subgroups on \mathscr{G} .

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Image: A matrix and a matrix

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$$\operatorname{sym}_{\mathscr{G}}(\dot{x}) = \{ \pi \in \mathscr{G} \mid \pi \dot{x} = \dot{x} \}.$$

If this property holds hereditarily for all the names that appear in \dot{x} , we say that \dot{x} is **hereditarily** \mathscr{F} -symmetric.

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We denote by $HS_{\mathscr{F}}$ the class of all hereditarily \mathscr{F} -symmetric names.

Let G be a V-generic filter, then $M = {\dot{x}^G | \dot{x} \in \mathsf{HS}_{\mathscr{F}}} = \mathsf{HS}^G_{\mathscr{F}}$ is a transitive class in V[G] which contains V, and $M \models \mathsf{ZF}$.

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We will omit the subscripts from here on end, since the symmetric system will be clear from context.

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Let's see an example...

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Let \mathbb{P} be the forcing $Add(\omega, \omega_1)$. The conditions, therefore, are finite functions $p \colon \omega_1 \times \omega \to 2$, ordered by reverse inclusion.

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Digression!

If $\{\dot{x}_i \mid i \in I\}$ is a collection of names, we use $\{\dot{x}_i \mid i \in I\}^{\bullet}$ to denote the obvious name they define:

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This notation extends naturally to ordered pairs and functions, etc. For example, it simplifies $\check{x} = \{\check{y} \mid y \in x\}^{\bullet}$.

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 $\pi p(\pi \alpha, n) = p(\alpha, n)$ by definition of the action of π .

Asaf Karagila (Leeds)

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Proof.

 $\pi p(\pi \alpha, n) = p(\alpha, n)$ by definition of the action of π . Therefore, $\pi p(\pi \alpha, n) = 1$ if and only if $p(\alpha, n) = 1$, and the equality follows.

Asaf Karagila (Leeds)

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 $\mathbb{1} \Vdash^{\mathsf{HS}} \dot{A}$ cannot be well-ordered.

Proof.

Suppose that $\dot{f} \in \mathsf{HS}$ is a name such that some $p \Vdash^{\mathsf{HS}} \dot{f} : \dot{A} \to \check{\kappa}$.

The previous proposition tells us that $\dot{a}_{\alpha} \in \mathsf{HS}$ for all α , since $\operatorname{fix}(\{\alpha\}) \in \mathscr{F}$, and therefore also $\dot{A} \in \mathsf{HS}$.

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This is a fairly simplistic symmetric system.

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 $\mathbb{1} \Vdash^{\mathsf{HS}}$ " \dot{A} does not admit a choice function".

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$$\mathbb{1}\Vdash^{\mathsf{HS}}\dot{f}\colon \check{\omega}\to \bigcup_{i<\omega}\dot{A}_i\implies \exists n<\omega, \mathrm{rng}\,\dot{f}\subseteq \bigcup_{i< n}\dot{A}_i.$$

Part III Preservation Theorems

Asaf Karagila (Leeds)

Breaking and Preserving [Some] Choice

18 August 2022

Let $\langle \mathbb{P}, \mathscr{G}, \mathscr{F} \rangle$ be a symmetric system. Suppose that \mathbb{P} is σ -closed and \mathscr{F} is σ -complete, then $\mathbb{1} \Vdash^{\mathsf{HS}} \mathsf{DC}$.

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Image: A matrix and a matrix

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Theorem

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We can replace σ -closed by c.c.c., and in fact by just requiring properness.

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Suppose that $\dot{T} \in \text{HS}$ such that $p \Vdash^{\text{HS}} ``\dot{T}$ is a tree without maximal nodes". We define a sequence of conditions, p_n , and a sequence of names, \dot{t}_n , such that $p_{n+1} \leqslant p_n$, $p_{n+1} \Vdash^{\text{HS}} \dot{t}_n <_T \dot{t}_{n+1}$, and $\dot{t}_n \in \text{HS}$. Since \mathbb{P} is σ -closed, let $q \leqslant p_n$ for all $n < \omega$; since \mathscr{F} is σ -complete, $H = \bigcap_{n < \omega} \operatorname{sym}(\dot{t}_n) \in \mathscr{F}$. But it is clear that $\{\dot{t}_n \mid n < \omega\}^{\bullet} \in \text{HS}$ since H is a subgroup of its stabiliser. And of course,

 $q \Vdash^{\mathsf{HS}} \{\dot{t}_n \mid n < \omega\}^{\bullet}$ is an infinite chain in \dot{T} .

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Theorem (K.)

Let $\langle \mathbb{P}, \mathscr{G}, \mathscr{F} \rangle$ be a mixable symmetric system admitting an absolute representative. Since $\check{\omega}$ is injective and densely measurable, $\mathbb{1} \Vdash^{\mathsf{HS}} \mathsf{AC}_{\omega}$.

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• $M \models \mathsf{BPI}.$

2 There is an ultrafilter on $X^{<\omega}$ containing $\{f \in X^{<\omega} \mid x \in \operatorname{rng} f\}$ for all $x \in X$.

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In the context of permutation models, defined for ZF with atoms, we do have an equivalent condition for preserving BPI, which is the filter of subgroups is Ramsey in a nontrivial way (equivalently, the topology defined from the filter gives rise to an extremely amenable and non-trivial group).

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Image: A matrix and a matrix

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Thank you For Your attention!

Asaf Karagila (Leeds)

Breaking and Preserving [Some] Choice

18 August 2022

Some suggested reading...

- K., Iterating symmetric extensions. J. symb. log. 84 (2019), 123–159 (arXiv:1606.06718).
- K., Preserving Dependent Choice. Bulletin Polish Acad. Sci. Math. 67 (2019), 19–29 (arXiv:1810.11301).
- K., Realizing realizability results with classical constructions. Bull. symb. log. 25 (2019) 429–445 (arXiv:1905.08202).
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