# Breaking and Preserving [Some] Choice 

Asaf Karagila

University of Leeds

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Young Set Theory Workshop 2020

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## Part I Weak Choice Principles

## Definition

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Let $X$ be an infinite set, and let $T$ be the set of all injective finite sequences of elements of $X$, ordered by end-extension. Since $X$ is infinite, $T$ does not have any maximal nodes. If $C \subseteq T$ is an infinite chain, then $\bigcup C$ is an injective function from $\omega$ into $X$.

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The following are equivalent:

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(1) DC.
(2) Suppose that $A$ is a set and $R$ is a relation on $A$ with $\operatorname{dom} R=A$, then given any $a_{0} \in A$, there is a function $f: \omega \rightarrow A$ such that $f(0)=a_{0}$ and $f(n) R f(n+1)$.

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(6) Rasiowa-Sikorski Theorem.
(1) A partial order $P$ is well-founded if and only if it does not have infinite descending chains.


## Definition

The Axiom of Countable Choice $\left(\mathrm{AC}_{\omega}\right)$ states that if $\left\{A_{n} \mid n<\omega\right\}$ is a family of non-empty sets, then there is a function $f$ with domain $\omega$, and $f(n) \in A_{n}$ for all $n<\omega$.

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The inverse implication does not hold.

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## Remark

The inverse implication does not hold. Namely, it is consistent with ZF that $\mathrm{AC}_{\omega}$ holds, but DC fails.

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Note that for any given $n, f_{i}$ for $i<n$ can only enumerate less than $n!+1$ elements, so by going to $f_{n!+1}$ we are guaranteed to find a suitable candidate for $x_{n+1}$.

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## Remark

The statement that every infinite set has a countably infinite subset is weaker than $\mathrm{AC}_{\omega}$. It is equivalent to the statement "If $\left\{A_{i} \mid i \in I\right\}$ is a family of sets which are co-finite subsets of $\bigcup A_{i}$, then it admits a choice function."

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(5) If $X$ is a metric space and $A \subseteq X$, then $\operatorname{cl}(A)=\lim (A)$.
(6) If $f$ is a function between two metric spaces, then $f$ is continuous if and only if it is sequentially continuous.

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## Remark

The reverse implication holds. Namely, the Ultrafilter Lemma implies the Boolean Prime Ideal Theorem.

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BPI is consistent with the existence of an infinite set without a countably infinite subset!

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(6) The product of compact Hausdorff spaces is a compact Hausdorff space.
(1) $2^{I}$ is compact for any set $I$, where 2 is discrete.
(8) If $R$ is a commutative ring with a unit, then every ideal is contained in a prime ideal.

## Part II

## Symmetric Systems

## Let $\mathbb{P}$ be a notion of forcing.

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By $\in$-recursion on $x$. Recall that $\check{x}=\{\langle\mathbb{1}, \check{y}\rangle \mid y \in x\}$.

Let $\mathbb{P}$ be a notion of forcing. If $\pi$ is an automorphism of $\mathbb{P}$, then $\pi$ acts on the P-names by the following recursive definition:

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If $x$ is in the ground model, then $\pi \check{x}=\check{x}$.

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## Lemma (The Symmetry Lemma)

$p \Vdash \varphi(\dot{x}) \Longleftrightarrow \pi p \Vdash \varphi(\pi \dot{x})$.

## Definition

Let $\mathscr{G}$ be a group. We say that $\mathscr{F}$ is a filter of subgroups on $\mathscr{G}$ if it is a non-empty collection of subgroups of $\mathscr{G}$ which is closed under finite intersections and supergroups.

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We say that $\langle\mathbb{P}, \mathscr{G}, \mathscr{F}\rangle$ is a symmetric system when $\mathbb{P}$ is a notion of forcing, $\mathscr{G}$ is a group of automorphisms of $\mathbb{P}$, and $\mathscr{F}$ is a normal filter of subgroups on $\mathscr{G}$.

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Let $\langle\mathbb{P}, \mathscr{G}, \mathscr{F}\rangle$ be a symmetric system. A P-name, $\dot{x}$, is $\mathscr{F}$-symmetric if $\operatorname{sym}_{\mathscr{G}}(\dot{x}) \in \mathscr{F}$, where

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We denote by $\mathrm{HS}_{\mathscr{F}}$ the class of all hereditarily $\mathscr{F}$-symmetric names.

## Theorem

Let $G$ be a $V$-generic filter, then $M=\left\{\dot{x}^{G} \mid \dot{x} \in \mathrm{HS}_{\mathscr{F}}\right\}=\mathrm{HS}_{\mathscr{F}}^{G}$ is a transitive class in $V[G]$ which contains $V$, and $M \models$ ZF.

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We will omit the subscripts from here on end, since the symmetric system will be clear from context.

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We say that $M$ satisfies Small Violations of Choice (SVC) if there is some $X$ such that for any set $Y$ there is some ordinal $\alpha$ and a surjection from $X \times \alpha$ onto $Y$.

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Let's see an example...

## Let $\mathbb{P}$ be the forcing $\operatorname{Add}\left(\omega, \omega_{1}\right)$.

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If $\left\{\dot{x}_{i} \mid i \in I\right\}$ is a collection of names, we use $\left\{\dot{x}_{i} \mid i \in I\right\}$ 都 denote the obvious name they define:

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This notation extends naturally to ordered pairs and functions, etc. For example, it simplifies $\check{x}=\{\check{y} \mid y \in x\}^{\bullet}$.

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$\pi p(\pi \alpha, n)=p(\alpha, n)$ by definition of the action of $\pi$. Therefore, $\pi p(\pi \alpha, n)=1$ if and only if $p(\alpha, n)=1$, and the equality follows.

The filter $\mathscr{F}$ is defined to be generated by groups of the form $\operatorname{fix}(E)=\{\pi \in \mathscr{G} \mid \pi \upharpoonright E=\mathrm{id}\}$, where $E \subseteq \omega_{1}$ is a countable set.

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Suppose that $\dot{f} \in \mathrm{HS}$ is a name such that some $p \Vdash^{\mathrm{HS}} \dot{f}: \dot{A} \rightarrow \check{k}$. Let $E$ be such that fix $(E) \subseteq \operatorname{sym}(\dot{f})$. Let $q \leqslant p$ be some condition that for some $\alpha \notin E, q \Vdash^{\mathrm{HS}} \dot{f}\left(\dot{a}_{\alpha}\right)=\check{\xi}$. Let $\beta$ be an ordinal which is not mentioned in $E$ or $q$, and consider the cycle $\pi=(\alpha \beta)$. Then $\pi q$ is compatible with $q$, and $\pi q \Vdash^{\mathrm{HS}} \pi \dot{f}\left(\pi \dot{a}_{\alpha}\right)=\pi \check{\xi}$. Simplifying this, we get $\pi q \Vdash^{\mathrm{HS}} \dot{f}\left(\dot{a}_{\beta}\right)=\check{\xi}$.
Since $q$ and $\pi q$ are compatible, $q$ could not have forced that $\dot{f}$ is injective, and the same holds for $p$.

The filter $\mathscr{F}$ is defined to be generated by groups of the form $\operatorname{fix}(E)=\{\pi \in \mathscr{G} \mid \pi \upharpoonright E=\mathrm{id}\}$, where $E \subseteq \omega_{1}$ is a countable set.

The previous proposition tells us that $\dot{a}_{\alpha} \in \mathrm{HS}$ for all $\alpha$, since fix $(\{\alpha\}) \in \mathscr{F}$, and therefore also $\dot{A} \in \mathrm{HS}$.

## Proposition

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Since $q$ and $\pi q$ are compatible, $q$ could not have forced that $\dot{f}$ is injective, and the same holds for $p$. But $p$ and $\dot{f}$ were arbitrary so $\mathbb{1}$ must force that nno such injective $\dot{f}$ can exist.

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While not entirely accurate, this is a good approximation for the truth. And if you take one thing from this, take that.

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$\mathbb{1} \Vdash^{\mathrm{HS}}$ " $\dot{A}$ does not admit a choice function".

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\mathbb{1} \Vdash^{\text {HS }} \dot{f}: \check{\omega} \rightarrow \bigcup_{i<\omega} \dot{A}_{i} \Longrightarrow \exists n<\omega, \operatorname{rng} \dot{f} \subseteq \bigcup_{i<n} \dot{A}_{i} .
$$

## Part III

## Preservation Theorems

## Theorem

Let $\langle\mathbb{P}, \mathscr{G}, \mathscr{F}\rangle$ be a symmetric system. Suppose that $\mathbb{P}$ is $\sigma$-closed and $\mathscr{F}$ is $\sigma$-complete, then $\mathbb{1} \Vdash^{\mathrm{HS}} \mathrm{DC}$.

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$q \|^{\mathrm{HS}}\left\{\dot{t}_{n} \mid n<\omega\right\}{ }^{\bullet}$ is an infinite chain in $\dot{T}$.

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Theorem (K.)
Let $\langle\mathbb{P}, \mathscr{G}, \mathscr{F}\rangle$ be a mixable symmetric system admitting an absolute representative. Since $\check{\omega}$ is injective and densely measurable, $1 \Vdash^{\mathrm{HS}} \mathrm{AC}_{\omega}$.

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Suppose that $M \models$ SVC with $X$ as a seed. The following are equivalent:
(1) $M \models \mathrm{BPI}$.
(2) There is an ultrafilter on $X^{<\omega}$ containing $\left\{f \in X^{<\omega} \mid x \in \operatorname{rng} f\right\}$ for all $x \in X$.

## Question

Is there an equivalent condition, or even just a "usable condition" for preserving $\mathrm{AC}_{\omega}$ and its relatives?

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## Thank you

 For
## Your attention!

## Some suggested reading...

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