

# An ordinal rank measuring universality

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Joint work (in progress) with S. Shelah



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### Main task

Study a natural rank on models or more abstract mathematical structures that tells us how complicated they are.



- 1 W. Kubiś, P. Radecka, *Evolution systems: A framework for studying generic mathematical structures*,  
<https://arxiv.org/abs/2109.12600>

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- 2 W. Kubiś, S. Shelah, *Analytic colorings*, *Ann. Pure Appl. Logic* 121 (2003), no. 2-3, 145–161



## Definition

An **evolution system** is a structure of the form  $\mathcal{E} = \langle \mathfrak{V}, \mathcal{T}, \Theta \rangle$ , where  $\mathfrak{V}$  is a category (called the **universe**),  $\Theta$  is a fixed  $\mathfrak{V}$ -object, called the **origin**, and  $\mathcal{T}$  is a class of  $\mathfrak{V}$ -arrows, called **transitions**.



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The only requirements are:

- 1 All identities are in  $\mathcal{T}$ .
- 2  $h \circ t \in \mathcal{T}$ , whenever  $t \in \mathcal{T}$  and  $h$  is an isomorphism.
- 3 (Regularity)  $t \circ g \in \mathcal{T}$ , whenever  $t \in \mathcal{T}$  and  $g$  is an isomorphism.

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## Local smallness

Given an object  $X$ , denote by  $\mathcal{T}(X)$  the class of all transitions with domain  $X$ .

We shall assume that for each  $X$  there is a set  $\mathcal{S}(X) \subseteq \mathcal{T}(X)$  that covers  $\mathcal{T}(X)$  in the sense that for every  $t \in \mathcal{T}(X)$  there is  $t' \in \mathcal{S}(X)$  and an isomorphism  $h$  satisfying  $t = h \circ t'$ .



## Definition

An **evolution** is a sequence of the form

$$\Theta \rightarrow A_0 \rightarrow A_1 \rightarrow \cdots \rightarrow A_n \rightarrow \cdots$$

where each of the arrows above is a transition.

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A **path** is a finite composition of transitions.

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An object  $M$  is **countable** if there exists an evolution whose colimit is  $M$ .

The category of countable objects with *countable paths* will be denoted by  $\mathcal{E}^{\sigma}$ .

# Basic examples

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## Example

Let  $\mathcal{F}$  be a hereditary class of models of a fixed relational first-order language. We declare  $\Theta$  to be the trivial structure. Transitions are one-point extensions and isomorphisms. The universe  $\mathfrak{A}$  could consist either of all embeddings or all homomorphisms.

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## Example

Let  $\mathcal{C}$  be a category of profinite first-ordered structures, again in a relational language. Now we declare  $\Theta$  to be the singleton and we declare  $f: X \rightarrow Y$  to be a transition if it is a continuous quotient epimorphism and there is at most one  $y_0 \in Y$  with  $|f^{-1}(y_0)| = 2$ , while  $|f^{-1}(y)| = 1$  for every  $y \in Y \setminus \{y_0\}$ .

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## Example

Fix an integer  $k > 0$ . Let  $\mathfrak{G}$  be the category of graphs,  $\Theta$  the singleton graph. We declare  $t: G \rightarrow G'$  a transition if it is either an isomorphism or else

$$G' = t[G] \cup \{v\}$$

and  $v$  is connected to at most  $k$  vertices of  $t[G]$ .



## Definition

We say that  $\mathcal{E}$  has the **transition amalgamation property** (briefly: **TAP**) if for every finite object  $Z$ , for every transitions  $f: Z \rightarrow X$ ,  $g: Z \rightarrow Y$  there exist transitions  $f', g'$  such that  $f' \circ f = g' \circ g$ .



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$$\begin{array}{ccc} Z & \xrightarrow{f} & X \\ \downarrow g & & \downarrow f' \\ Y & \xrightarrow{g'} & W \end{array}$$

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An evolution  $\vec{u}$  has the **absorption property** if for every  $n$ , for every transition  $t: U_n \rightarrow Y$  there are  $m > n$  and a path  $g: Y \rightarrow U_m$  such that  $f \circ t = u_n^m$ .

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The diagram illustrates the absorption property. It shows a sequence of objects  $U_0, U_1, \dots, U_n, \dots, U_m, \dots$  connected by arrows. A red arrow points from  $U_n$  down to  $Y$ , and a blue dashed arrow points from  $Y$  up to  $U_m$ .

## Theorem

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More precisely:

- 1 Given a finite object  $A$  and paths  $f_i: A \rightarrow U$ ,  $i = 0, 1$ , there is an automorphism  $h: U \rightarrow U$  such that  $f_1 = h \circ f_0$ .
- 2 For every countable object  $M$  there is a countable path  $p: M \rightarrow U$ .



## Definition

A **functor** of evolution systems is a functor of their universes that preserves both the origin and the transitions.

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## Definition

Let  $\Phi: \mathcal{E} \rightarrow \mathcal{E}'$  be a functor of evolution systems. We define the **rank**  $\text{rk}_\Phi(A)$  for each finite object  $A$  in  $\mathcal{E}$  by the following rules.

- (O)  $\text{rk}_\Phi(A)$  is either an ordinal or  $\infty$ , and we agree that  $\infty$  is above all ordinals.
- (R)  $\text{rk}_\Phi(A) \geq \alpha + 1$  if and only if for every nontrivial transition  $f: \Phi A \rightarrow Y$  there exists a transition  $t: A \rightarrow B$  such that  $\text{rk}_\Phi(B) \geq \alpha$  and  $\Phi t$  is left-isomorphic to  $f$ , that is,  $f = h \circ \Phi t$  for some isomorphism  $h$ .

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It is natural to define

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Another option is

$$\text{rk}(\Phi) := \sup\{\text{rk}_\Phi(A) : A \in \text{Obj}(\mathcal{E}^{\text{fin}})\}.$$



## Proposition

*Let  $\Phi: \mathcal{E} \rightarrow \mathcal{E}'$  be a functor of evolution systems. Then*

$$\text{rk}_\Phi(A) = \text{rk}_\Phi(B)$$

*for every isomorphic finite objects  $A, B$ .*

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## Proposition

Let  $\Phi: \mathcal{E} \rightarrow \mathcal{E}'$  be a functor of evolution systems. If  $\mathcal{E}$  is locally countable, then  $\text{rk}_\Phi$  has values in  $\omega_1 \cup \{\infty\}$ .

## Definition

Fix an evolution system  $\mathcal{E}$  and fix an object  $M$  of its universe  $\mathfrak{M}$ . Assume that the origin  $\Theta$  is initial in  $\mathfrak{M}$ .

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Define a new system  $\mathcal{E}_M = \langle \mathfrak{V}_M, \mathcal{T}_M, \Theta_M \rangle$  as follows.

- (0) The objects of  $\mathfrak{V}_M$  are paths from finite objects into  $M$ . The arrows are  $\mathfrak{V}$ -arrows making  $\mathfrak{V}_M$  a comma category. Specifically, if  $f: A \rightarrow M$ ,  $g: B \rightarrow M$  are  $\mathfrak{V}_M$ -objects, an arrow from  $f$  to  $g$  is any  $\mathfrak{V}$ -arrow  $k: A \rightarrow B$  satisfying  $f = g \circ k$ .
- (1) Transitions are the same as in  $\mathcal{E}$ , now treated as  $\mathfrak{V}_M$ -arrows.
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## Fact

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Define  $\text{rk}(M) := \text{rk}(\Phi_M)$ .





## Theorem

*Assume  $\mathcal{E}$  is an evolution system and  $M$  is an object with  $\text{rk}(M) = \infty$ . Then for every countable object  $X$  there exists a  $\mathfrak{A}$ -arrow  $f: X \rightarrow M$ .*

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## Theorem

Assume  $\mathcal{E}$  is locally countable, with the TAP, and let  $U$  be its generic object. Given a  $\mathfrak{A}$ -object  $M$ , the following properties are equivalent.

- (a)  $\text{rk}(M) = \infty$ .
- (b) There exists a  $\mathfrak{A}$ -arrow  $f: U \rightarrow M$ .

A poster with a simplified version can be found at

[https://users.math.cas.cz/kubis/pdfs/ranksEvasPS\\_ver2.pdf](https://users.math.cas.cz/kubis/pdfs/ranksEvasPS_ver2.pdf)

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